

# Optics for Engineers

## Week 5

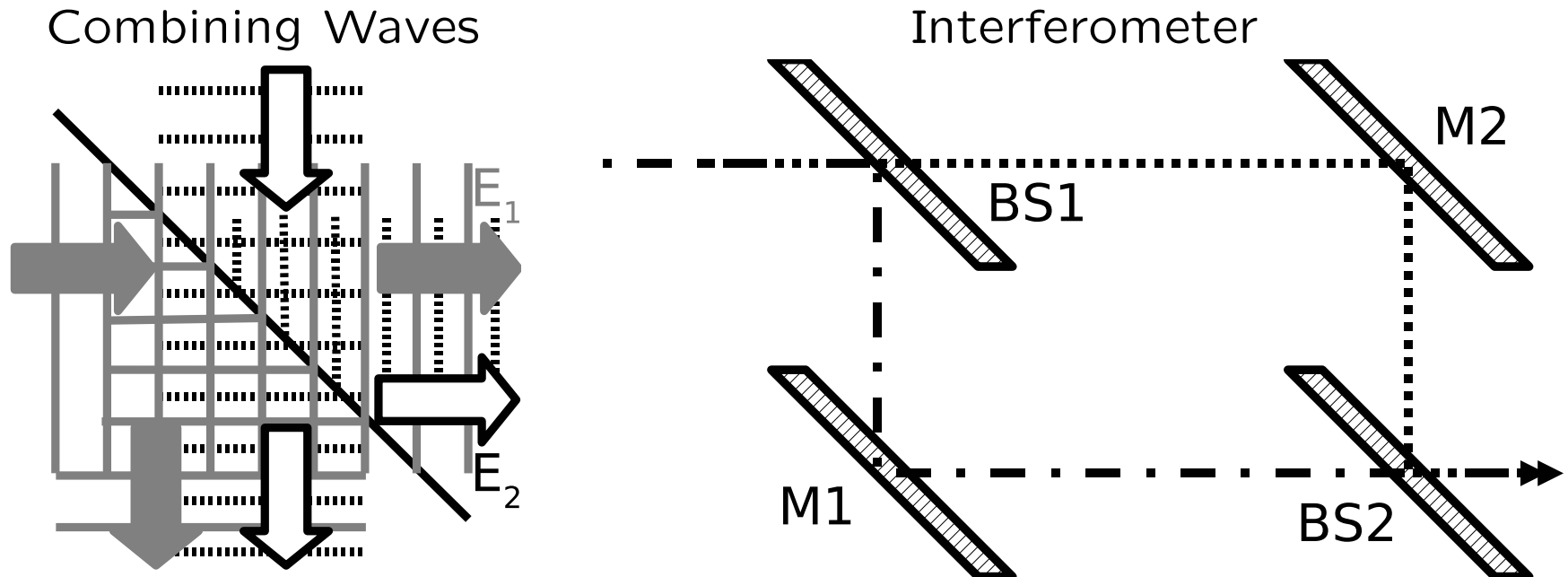
Charles A. DiMarzio  
EECE-4646  
Northeastern University

Feb 2024

# Week 5 Agenda

- Coherent and Incoherent Light
- Mach–Zehnder Interferometer
- Michaelson Interferometer and Optical Testing
- Doppler Lidar
- Fabry–Perot Interferometer
- Laser Cavities
- Dielectric Coatings

# Superposition



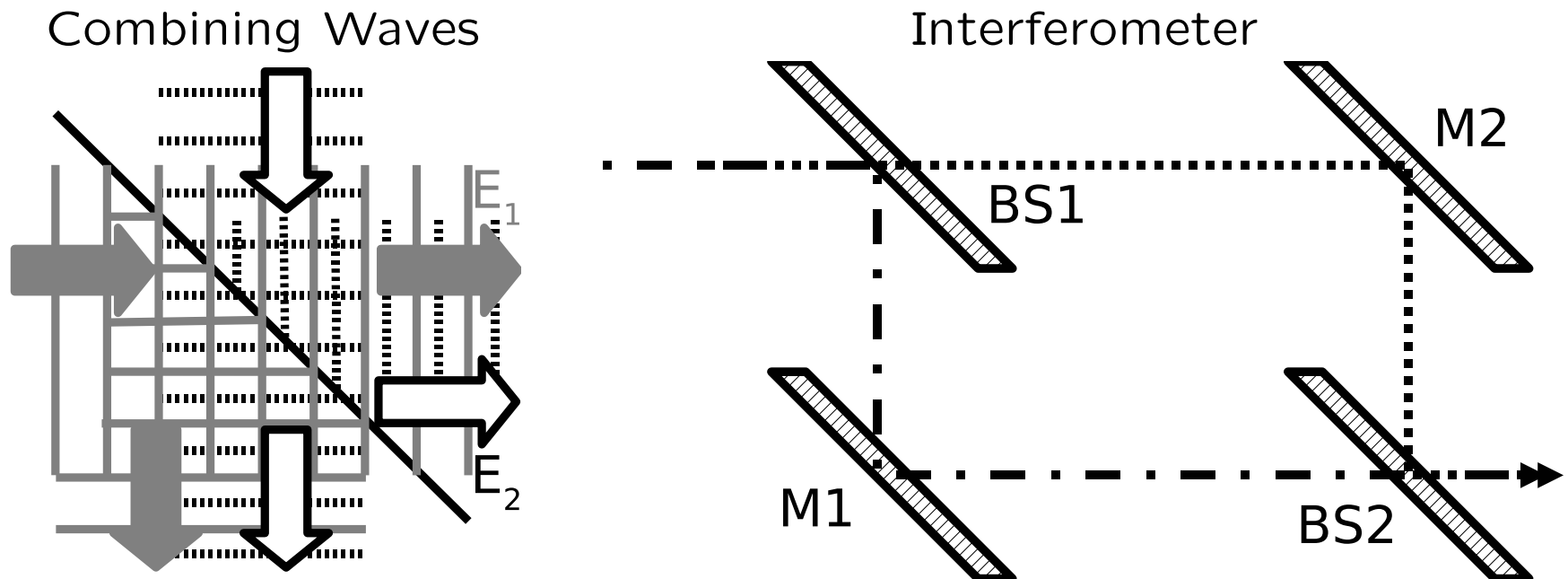
- Output Toward the Right (Similar for Downward)
- Coherent Addition

$$E = E_1 + E_2 \quad I = |E|^2 = EE^*$$

- Incoherent Addition

$$I = I_1 + I_2$$

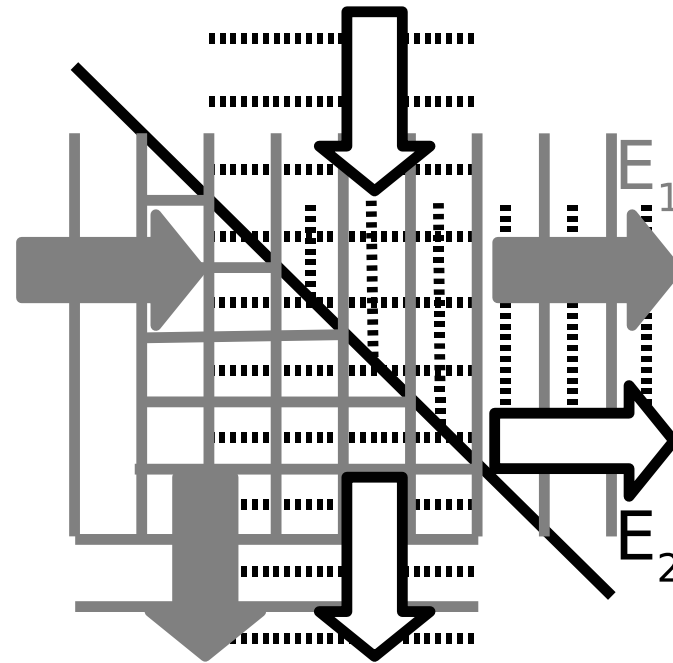
# Coherent Superposition



- Inputs Both Have  $I = 1$
- Outputs Both Have  $0 \leq E_1 + E_2 \leq 2$
- But  $I_{right} + I_{down} = I_{from\ top} + I_{from\ left}$

# Measuring the Field Amplitude Is Hard

- Easy for Ocean Wave Height
- Easy for Acoustic Pressure
- Even Easy for Radio Waves
- No Direct Measurement for Light
  - Terahertz Frequencies
  - Sub-Micrometer Wavelengths
- Use Interferometry
  - Mix With Known Reference Wave
  - Measure Irradiance
  - Variations in Space or Time



$$I = \frac{|E|^2}{Z} = \frac{EE^*}{Z}$$

$$I = \frac{(E_1^* + E_2^*)(E_1 + E_2)}{Z}$$

# Interferometry Equations

- Irradiance

$$I = \frac{(E_1^* + E_2^*)(E_1 + E_2)}{Z}$$

- Expand

$$I = \frac{E_1^*E_1 + E_2^*E_2 + E_1^*E_2 + E_1E_2^*}{Z}$$

- First Two Terms are “DC” Terms
- Third and Fourth are “Mixing” Terms
- Complex Conjugate Pair (Real Sum)

$$I_{mix} = \frac{E_1E_2^*}{Z} \quad \text{and} \quad I_{mix}^* = \frac{E_1^*E_2}{Z}$$

# Mixing Terms

- Complex Conjugates Add to Real Value

$$I_{mix} = \frac{E_1 E_2^*}{Z} \quad \text{and} \quad I_{mix}^* = \frac{E_1^* E_2}{Z}$$

- Magnitude

$$|I_{mix}| = |I_{mix}^*| = \sqrt{I_1 I_2}$$

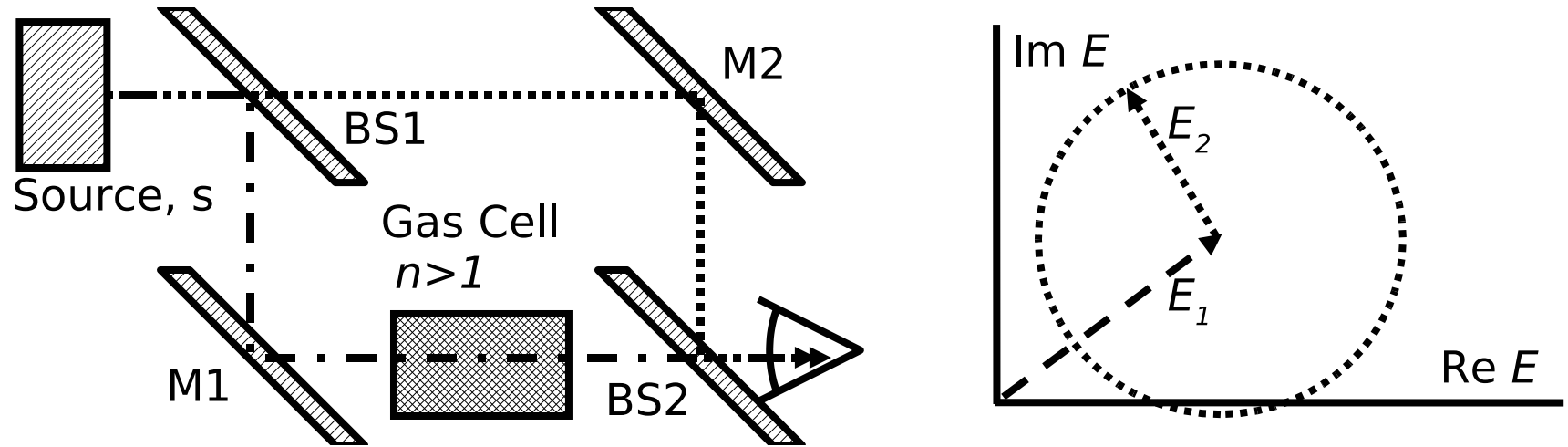
- Total Irradiance

$$I = I_1 + I_2 + 2\sqrt{I_1 I_2} \cos(\phi_2 - \phi_1)$$

- Random Fields: Incoherent Superposition

$$\bar{I}_{mix} = 0 \quad \bar{I} = I_1 + I_2$$

# Mach–Zehnder Interferometer



$$I = I_1 + I_2 + 2\sqrt{I_1 I_2} \cos(\phi_2 - \phi_1)$$

- Add Gas Pressure to Cell;  $n \uparrow$ ,  $\Delta = \Delta OPL \uparrow$

$$\Delta = \delta(nl_c)$$

$$\delta\phi_1 = k\Delta = 2\pi\frac{\Delta}{\lambda} = 2\pi\frac{l_c}{\lambda}\delta n$$



# Fringe Amplitude and Contrast

- Total Signal

$$I = I_0 \left( R_1 T_2 + T_1 R_2 + 2\sqrt{R_1 T_2 T_1 R_2} \cos \delta\phi \right)$$

- Fringe Amplitude

$$I_m = I_{max} - I_{min}$$

$$\sqrt{R_1 T_1 R_2 T_2} I_0 = 0.25 I_0 \quad \text{for } R_1 = R_2 = 0.5$$

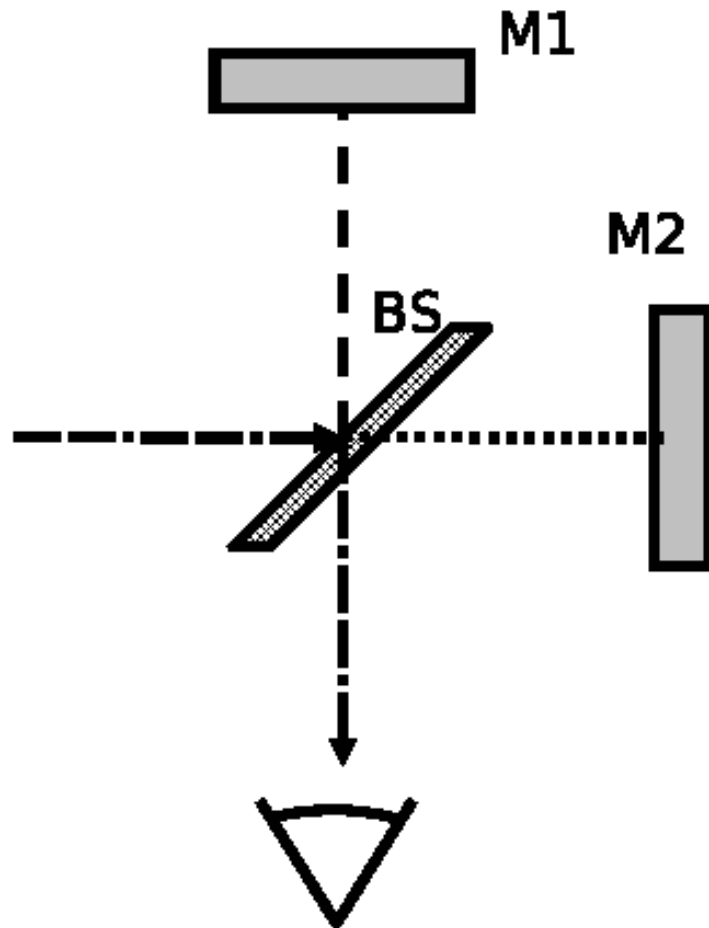
- Fringe Contrast Defined

$$V = \frac{I_{max} - I_{min}}{I_{max} + I_{min}} \quad (0 \leq V \leq 1) \quad V = 2 \frac{\sqrt{R_1 T_2 T_1 R_2}}{R_1 T_2 + T_1 R_2}$$

- For  $R_1 = R_2 = R$  and  $(T_1 = T_2 = T)$

$$I = I_0 2RT (1 + \cos \delta\phi)$$

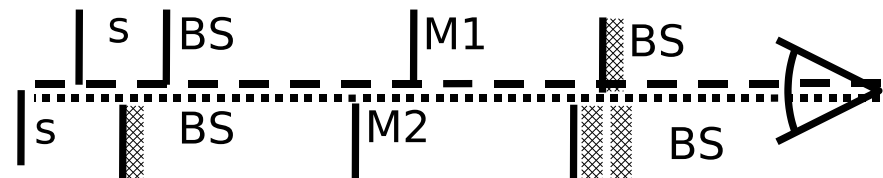
# Michelson Interferometer



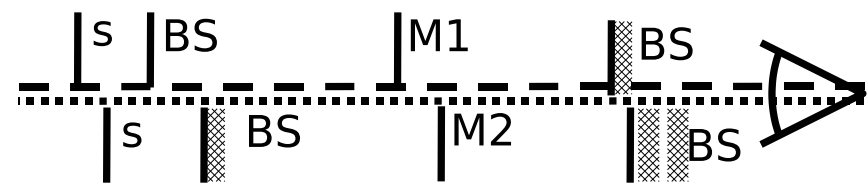
$$I = I_0 [R_{BS}R_{M1}T_{BS} + T_{BS}R_{M2}R_{BS} + \sqrt{R_{BS}^2R_{M1}R_{M2}T_{BS}^2} \cos(\delta\phi)]$$

Straight-Line Layout

Transit Time

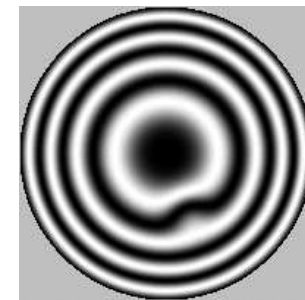
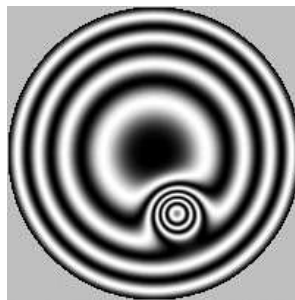
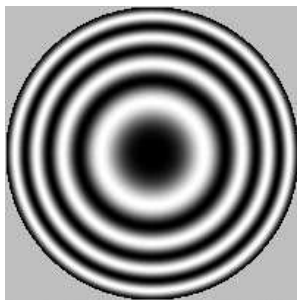
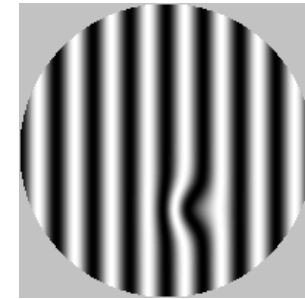
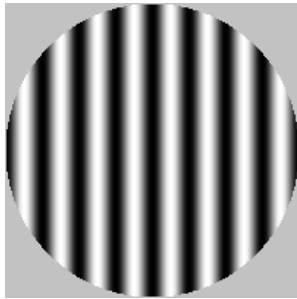


Geometric Optics



# Optical Testing

## Synthetic Results Illustrating Fringe Patterns



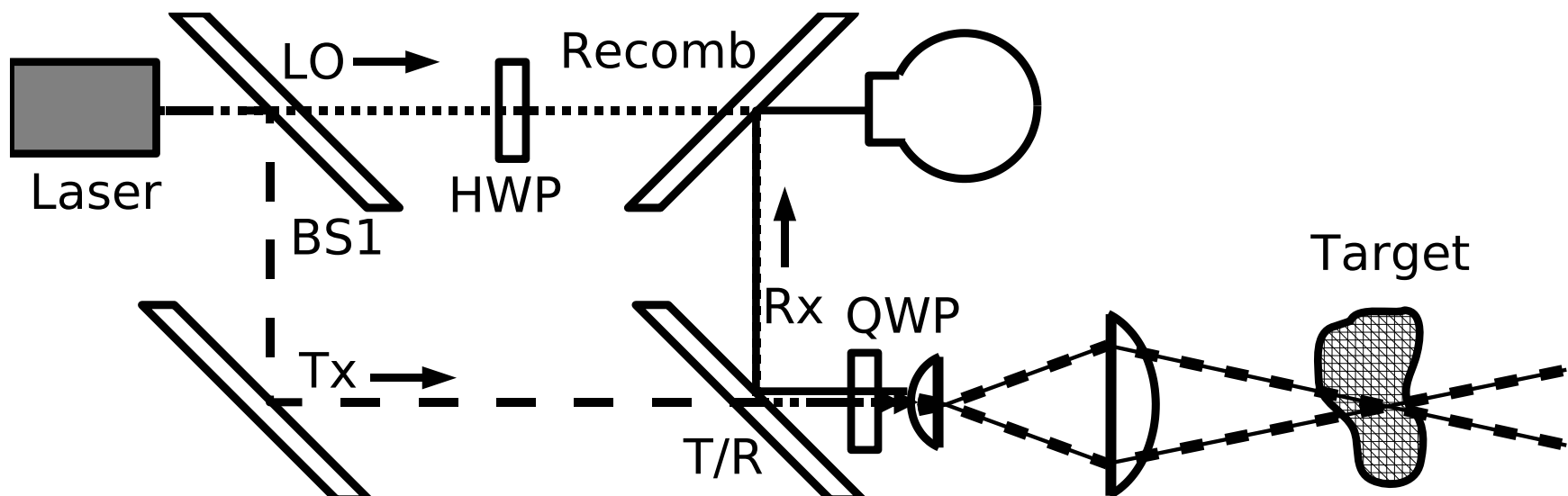
Perfect Surface

2-Wavelength Bump  
(4 Fringes)

0.2-Wavelength Bump  
(0.4 Fringes)

# Coherent Laser Radar (Lidar)

- Similar to Mach–Zehnder (Modified Mach–Zehnder?)
- Common Transmit/Receive Aperture: Use T/R Switch (Ch. 6)
  - Transmitter Polarization:  $P$  at Beamsplitters
  - Receiver Polarization:  $S$ : Need HWP in Reference (LO)
  - Ideally QWP Between Telescope and Target to Reduce Narcissus (Not Practical)
- BS1 and Recombining Beamsplitter High Reflectivity



# Doppler Velocity

- Target: Dust, Fo, Rain, Snow, Smoke, *etc.*
- Doppler Equation from Source to Target

$$2\pi f_d = \mathbf{k} \cdot \mathbf{v} \quad (> 0 \text{ for Approaching Velocities})$$

$$f_d = \frac{v_{parallel}}{\lambda} \quad (\text{Moving Source or Detector})$$

- Doppler Lidar (or Radar) on Round Trip

$$f_{DR} = 2 \frac{v_{parallel}}{\lambda}$$

$$(f_{DR} = 100\text{kHz for } v_{parallel} = 0.54\text{m/s at } \lambda = 10.59\mu\text{m})$$

# Pulsed Laser Radar

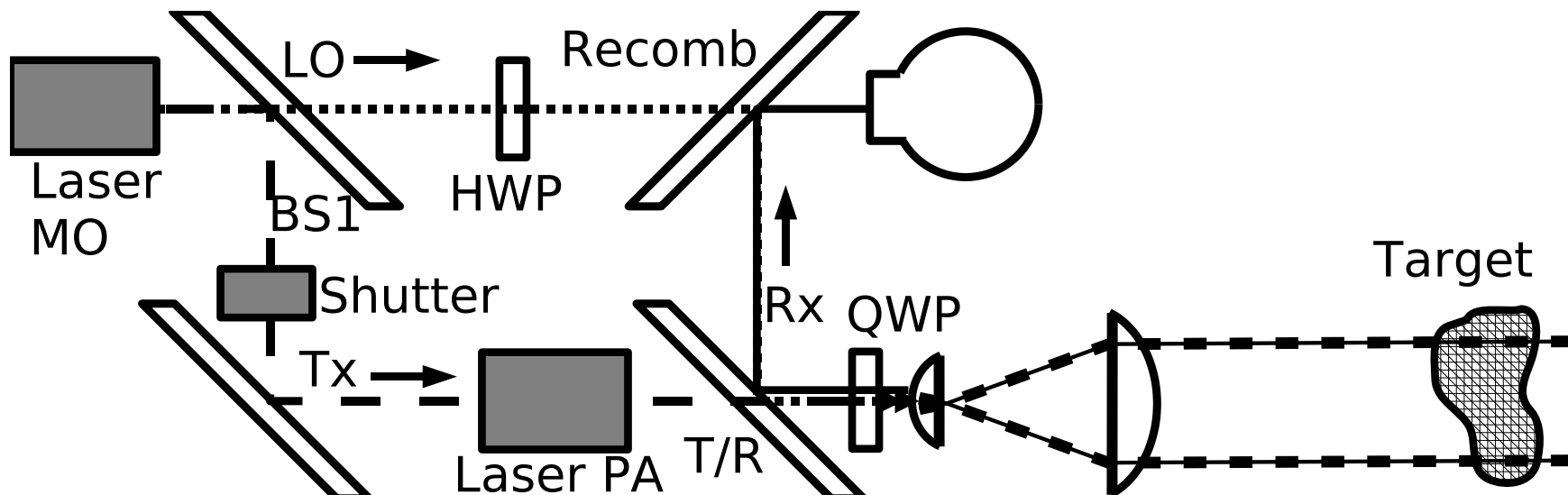
- Range Resolution and Velocity Resolution

$$\delta r = \frac{c\tau}{2} \quad \delta f_{DR} \approx \frac{1}{\tau} \quad \delta v_{parallel} \approx \frac{\lambda}{2\tau}$$

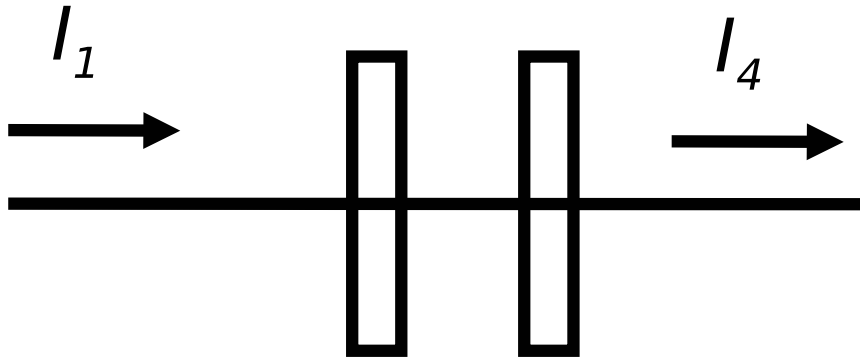
- Hundreds of Meters and  $m/s$  Typical at  $10.59\mu m$
- Average Power

$$P_{avg} = P_{laser} \times \tau \times PRF$$

- Range Ambiguity May Limit PRF



# Fabry–Perot



- Resonant Frequencies and Free Spectral Range

$$f = N f_0$$

$$FSR = f_0 = \frac{c}{2\ell}$$

- Recirculating Power

$$P_{recirculating} = \frac{P_{out}}{T_2} =$$

$$\frac{P_{out}}{1 - R_2} = \frac{P_0}{1 - R_2}$$

- $N$  Round Trips

$$P_N = (R_1 R_2)^N$$

- 50% Probability

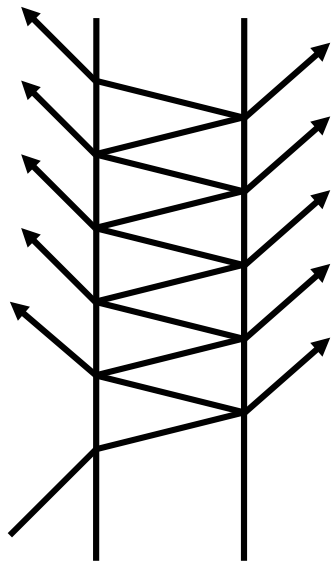
$$N = -\log 2 / \log (R_1 R_2)$$

*e.g.*  $R_1 = R_2 = 0.999$ :

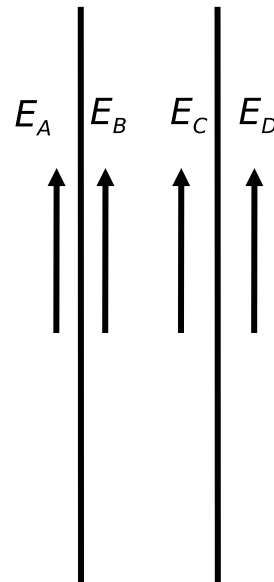
$$N = 346$$

- Resolution of a Longer Interferometer

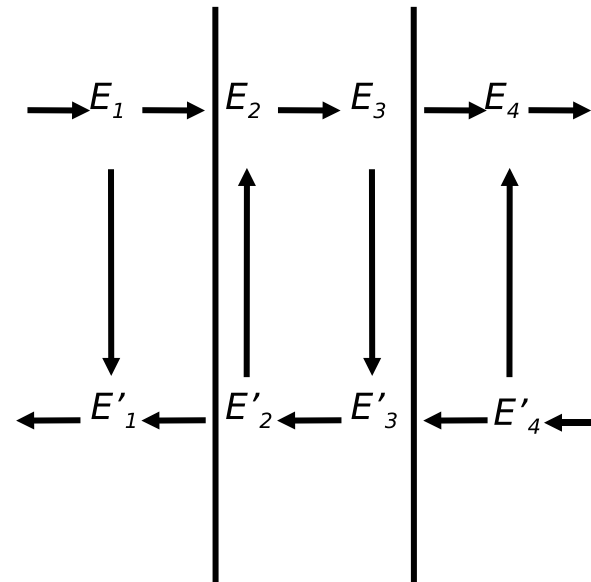
# Fabry–Perot Equations



A. Infinite–Sum Solution

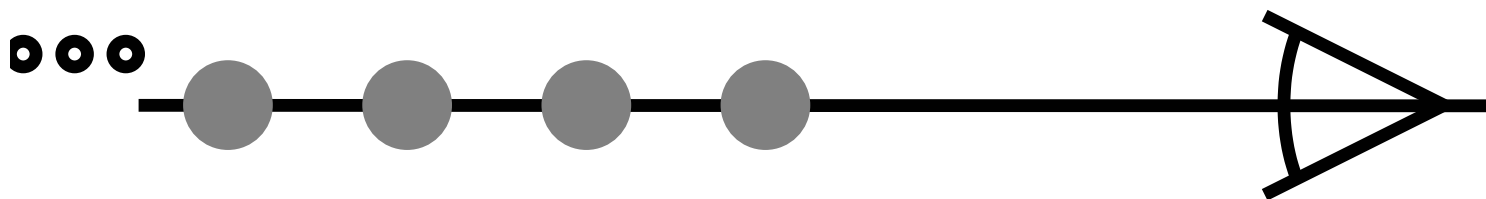


B. E/M Fields BC Solution



C. Network Approach with  $\rho$  and  $\tau$

The Infinite Sum, or “Barber’s Chair” Approach





# Computing the Sum

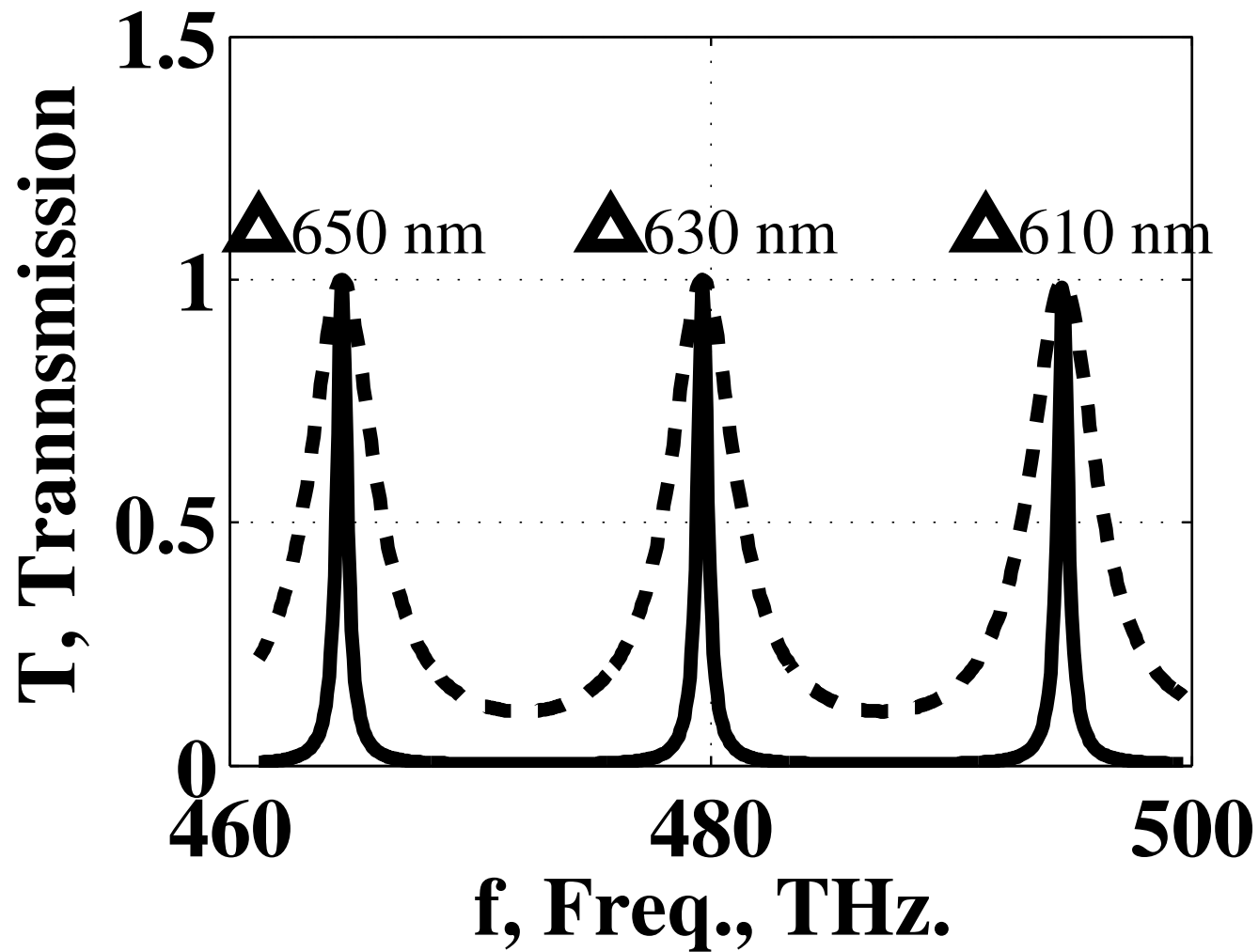
$$E_t = E_0 \tau_1 \tau_2 e^{jkl} \frac{1}{1 - \rho'_1 \rho_2 e^{2jkl}}$$

$$E_r = E_0 \rho_1 + \tau_1 \tau'_1 e^{2jkl} \frac{1}{1 - \rho'_1 \rho_2 e^{2jkl}}$$

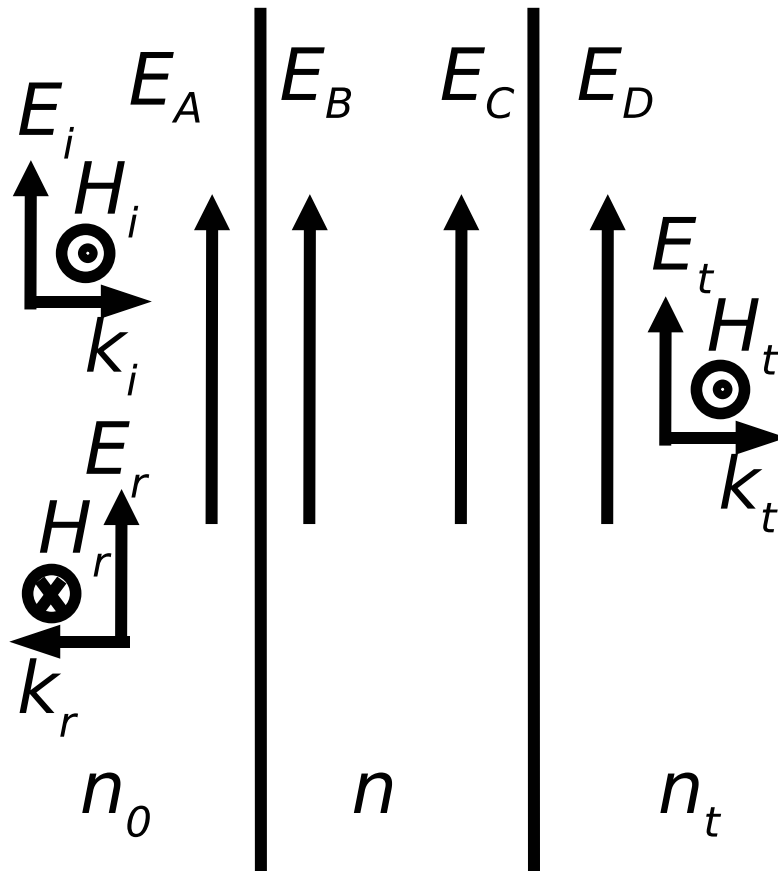
$$T = \left| \frac{E_t}{E_0} \right|^2 \quad T = \tau_1 \tau_2 \tau_1^* \tau_2^* \frac{1}{1 - \rho'_1 \rho_2 e^{2jkl}} \frac{1}{1 - (\rho'_1)^* \rho_2^* e^{-2jkl}}$$

$$T = T_1 T_2 \frac{1}{1 - 2\sqrt{R_1 R_2} \cos(2kl) + R_1 R_2}$$

# Fabry–Perot Transmission



# Thin Films: Approach



- Normal Incidence
- Wave from Left

$$E_A = E_i + E_r \quad E_D = E_t$$

$$H_A = H_i - H_r \quad H_D = H_t$$

- Electric Field BC

$$E_B = E_A \quad E_C = E_D$$

- Magnetic Field BC

$$H_B = H_A \quad H_C = H_D$$

$$\frac{E_B}{nZ_0} = \frac{E_A}{n_0Z_0} \quad \frac{E_C}{nZ_0} = \frac{E_D}{n_tZ_0}$$

$$\frac{E_B}{n} = \frac{E_A}{n_0} \quad \frac{E_C}{n} = \frac{E_D}{n_t}$$

# Thin Films: In the Medium

- Right-Propagating

$$E_{right}e^{inkz} \quad \text{and} \quad H_{right}e^{inkz} = \frac{1}{nZ_0}E_{right}e^{inkz}$$

- Left-Propagating

$$E_{left}e^{-inkz} \quad \text{and} \quad H_{left}e^{-inkz} = -\frac{1}{nZ_0}E_{left}e^{-inkz}$$

- Boundaries

$$E_B = E_{left} + E_{right} \quad E_C = E_{left}e^{-jnk\ell} + E_{right}e^{jnk\ell}$$

- Result

$$E_i + E_r = E_t \cos(nk\ell) - E_t \frac{n_t}{n} \sin(nk\ell)$$

$$n_0 E_i - n_0 E_r = -in E_t \sin(nk\ell) + n_t E_t \cos(nk\ell)$$

# Thin Films: Matrix Equation

- Previous Result

$$E_i + E_r = E_t \cos(nkl) - E_t \frac{n_t}{n} \sin(nkl)$$

$$n_0 E_i - n_0 E_r = -in E_t \sin(nkl) + n_t E_t \cos(nkl)$$

- Matrix Equation

$$\begin{pmatrix} 1 \\ n_0 \end{pmatrix} E_i + \begin{pmatrix} 1 \\ -n_0 \end{pmatrix} E_r = \begin{pmatrix} \cos(nkl) & -\frac{i}{n} \sin(nkl) \\ -in \sin(nkl) & \cos(nkl) \end{pmatrix} \begin{pmatrix} 1 \\ n_t \end{pmatrix} E_t$$

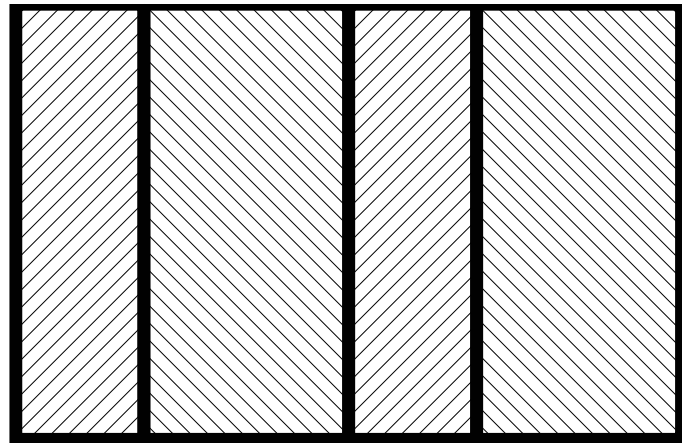
- Characteristic Matrix

$$\mathcal{M} = \begin{pmatrix} \cos(nkl) & -\frac{i}{n} \sin(nkl) \\ -in \sin(nkl) & \cos(nkl) \end{pmatrix}$$

$$\begin{pmatrix} 1 \\ n_0 \end{pmatrix} + \begin{pmatrix} 1 \\ -n_0 \end{pmatrix} \rho = \mathcal{M} \begin{pmatrix} 1 \\ n_t \end{pmatrix} \tau$$

# Multiple Layers

$$\mathcal{M} = \begin{pmatrix} \cos(nkl) & -\frac{i}{n} \sin(nkl) \\ -in \sin(nkl) & \cos(nkl) \end{pmatrix} \quad \begin{pmatrix} 1 \\ n_0 \end{pmatrix} + \begin{pmatrix} 1 \\ -n_0 \end{pmatrix} \rho = \mathcal{M} \begin{pmatrix} 1 \\ n_t \end{pmatrix} \tau$$



**M<sub>1</sub>** **M<sub>4</sub>**

$$\mathcal{M} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

$$\rho = \frac{An_0 + Bn_t n_0 - C - Dn_t}{An_0 + Bn_t n_0 + C + Dn_t}$$

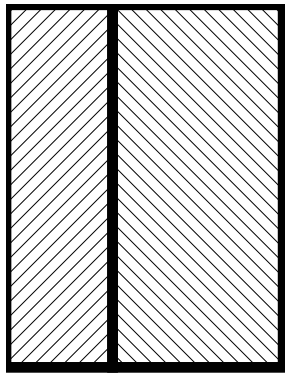
$$\tau = \frac{2n_0}{An_0 + Bn_t n_0 + C + Dn_t}$$

$$\mathcal{M} = \mathcal{M}_1 \mathcal{M}_2 \mathcal{M}_3 \dots$$

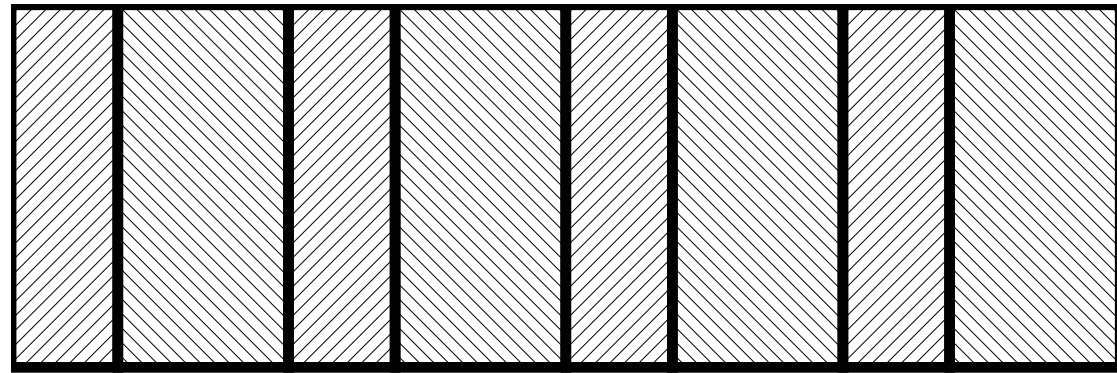
# Dielectric Stacks

- High Reflectivity (Often Better than Metal)
- Anti-Reflection Coatings or Stacks
- Narrow-Band Filters, Mirrors, *etc.*
- Bandpass Devices that Are Not Narrow-Band
- Hot Mirror or Cold Mirror
- Long-Pass Dichroic
- Short-Pass Dichroic
- Beamsplitters (Specific Reflectivity, Angle, Polarization, Wavelength Range, *etc.*)

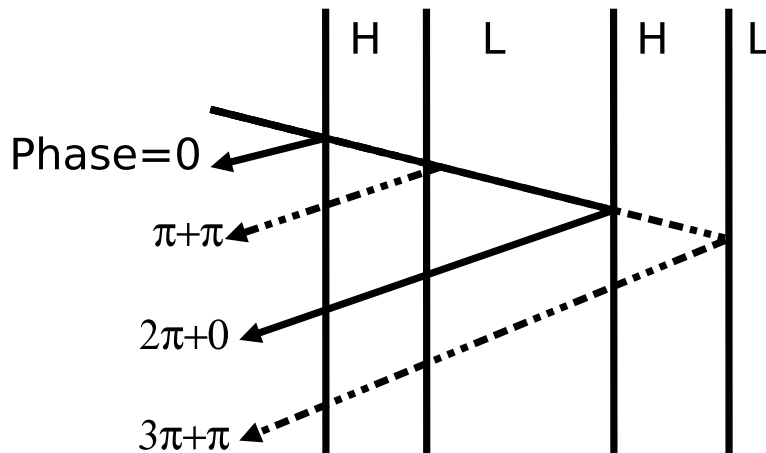
# High-Reflectance Stack (1)



One Pair



Multi-Layer Stack



- One Layer ( $\lambda/4$ )

$$\mathcal{M}_i = \begin{pmatrix} 0 & -i/n_i \\ in_i & 0 \end{pmatrix}$$

- One Pair

$$\mathcal{M}_p = \begin{pmatrix} 0 & -i/n_h \\ in_h & 0 \end{pmatrix} \begin{pmatrix} 0 & -i/n_\ell \\ in_\ell & 0 \end{pmatrix} = \begin{pmatrix} -n_\ell/n_h & 0 \\ 0 & -n_h/n_\ell \end{pmatrix}$$



# High-Reflectance Stack (2)

- One Pair of  $\lambda/4$  Layers

$$\mathcal{M}_p = \begin{pmatrix} -n_\ell/n_h & 0 \\ 0 & -n_h/n_\ell \end{pmatrix}$$

- Multiple Pairs

$$\mathcal{M}_N = \begin{pmatrix} (-n_\ell/n_h)^N & 0 \\ 0 & (-n_h/n_\ell)^N \end{pmatrix}$$

- Reflectivity

$$R = \left( \frac{\left(\frac{n_\ell}{n_h}\right)^{2N} - \frac{n_t}{n_0}}{\left(\frac{n_\ell}{n_h}\right)^{2N} + \frac{n_t}{n_0}} \right)^2$$

- Narrow Band ( $\lambda/4$ )
- Almost Indep. of  $n_t$ ,  $n_0$

- Example

- Zinc Sulfide,  $n_h = 2.3$
- Magnesium Fluoride,  $n_\ell = 1.35$
- 8 Layers

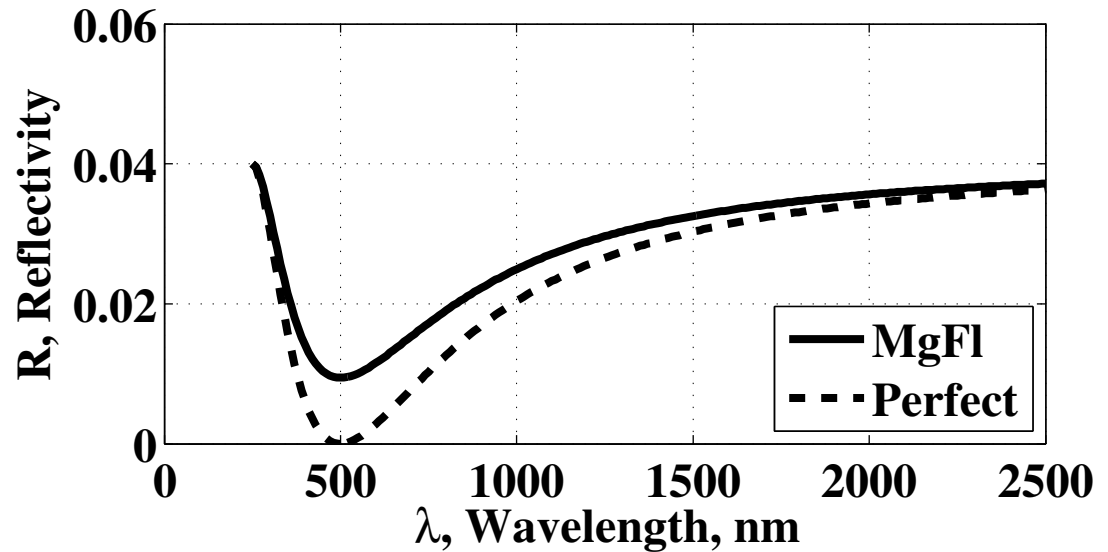
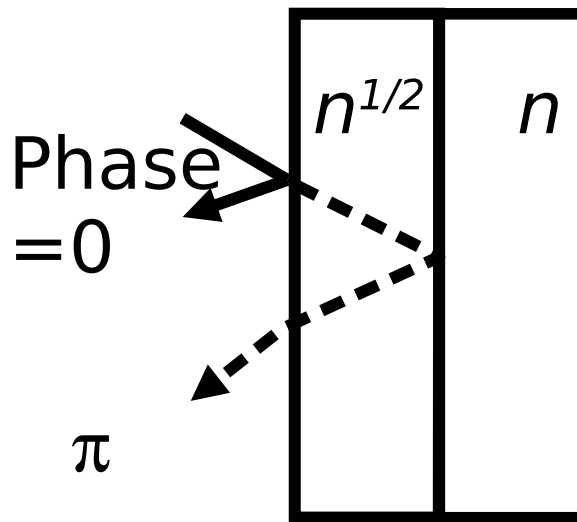
$$N = 4 \rightarrow R = 0.97$$

- 30 Layers

$$N = 15 \rightarrow R = 0.999$$

- For Lasers, eg. HeNe
- Compare Metal ( $\approx 0.96$  Typical)
  - Near-Zero Heating

# Anti-Reflection Coating



- Ideal AR Coating
  - Quarter-Wave Coating

$$n_{layer} = \sqrt{n_t}$$

- Other Issues
  - Durability
  - Cost
  - Safety

- Example
  - One Layer
  - Magnesium Fluoride

$$n = 1.35$$

- $R = 0.01$  at Design  $\lambda$
- $R = 0.04$  at Extremes
- Looks Pink or Purple

# Multi-Layer AR Stacks

