

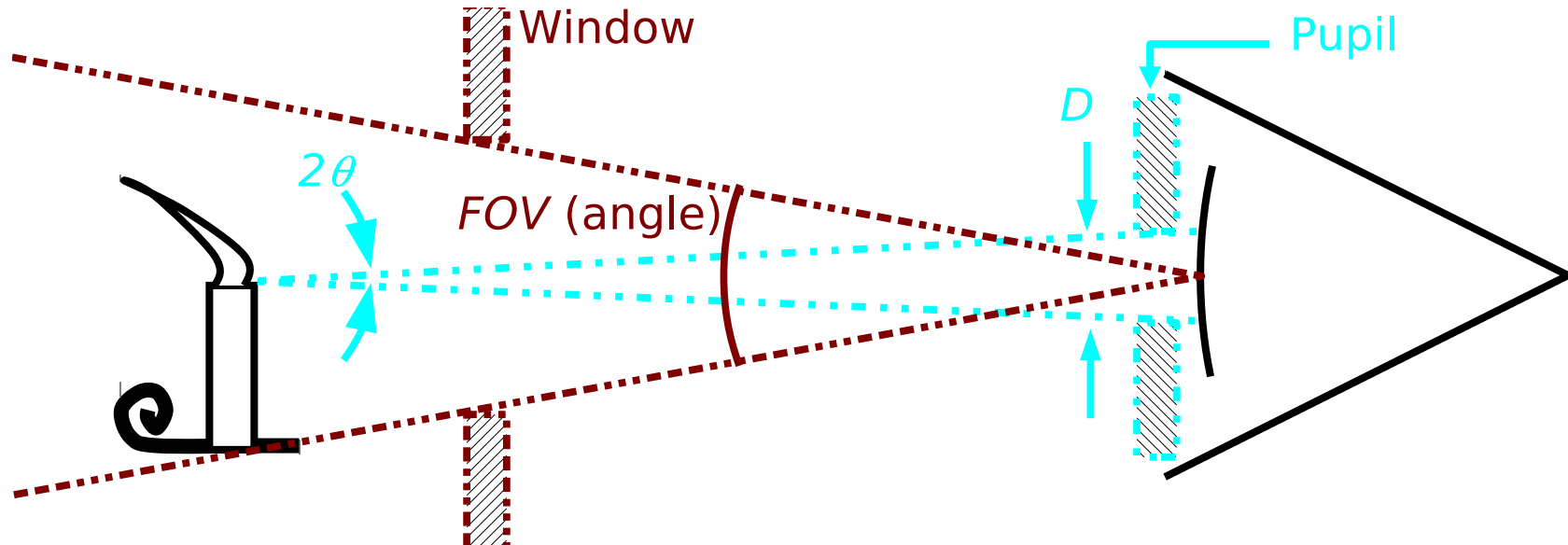
# Optics for Engineers

## Chapter 4

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Jan. 2014

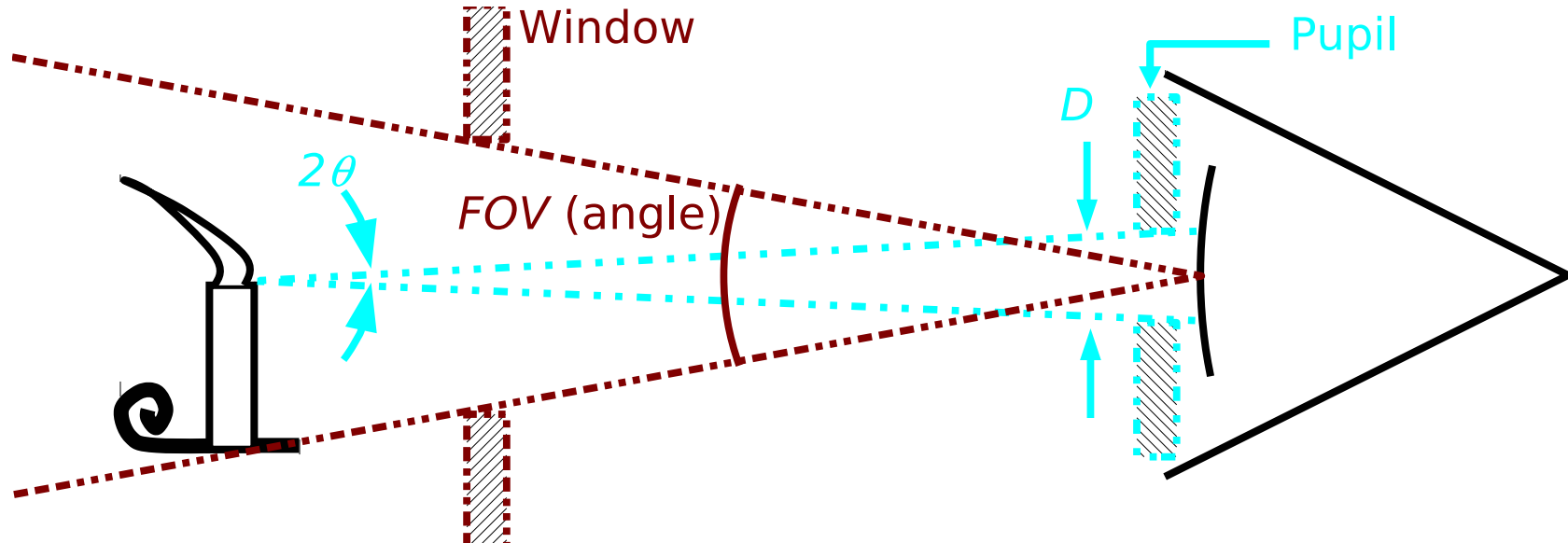
# Stops



- Pupil Diameter,  $D$ , Limits Light Gathering Ability
  - Usually Defined by f-number or Numerical Aperture
- Window Limits Field of View
  - Usually Defined by Angle(s) or Linear Dimension(s)



# Numerical Aperture



$$NA_{object} = n \sin \theta = n \frac{D/2}{\sqrt{s^2 + (D/2)^2}}$$

$$NA_{image} = n' \sin \theta' = n' \frac{D/2}{\sqrt{(s')^2 + (D/2)^2}}$$

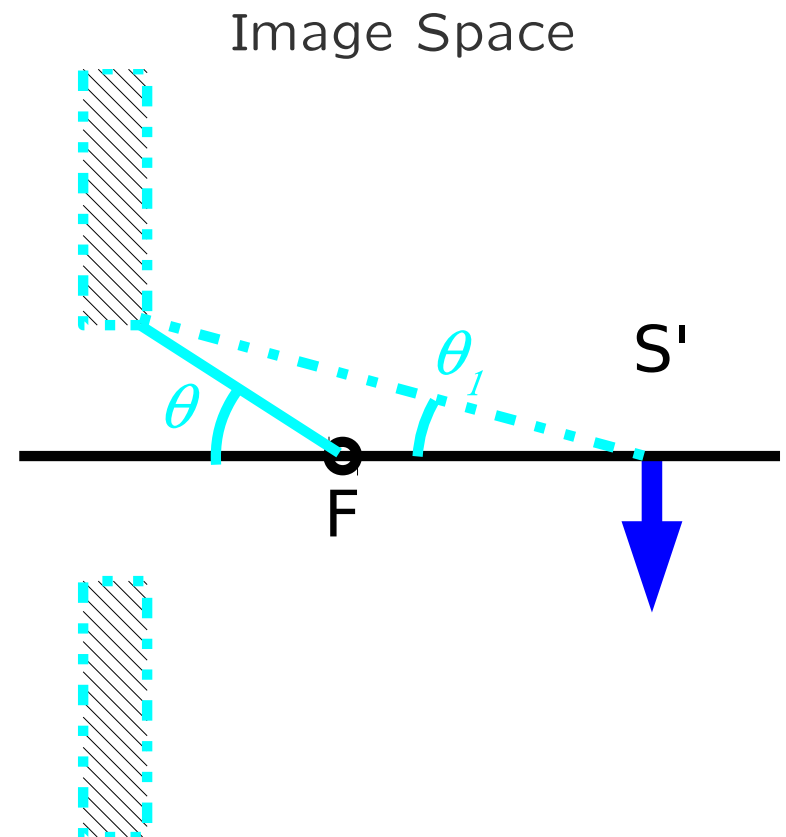
# F-Number and NA (1)

$$F = \frac{f}{D}$$

$$NA = n \sin \theta$$

Differences  
Summarized

	$F$	$NA$
Angle Vertex	Focal Point	Object or Image
Trig.	tan	sin
Dep.	Inv.	Lin.
“Fast” Lens	↓	↑
Aperture	Dia.	Rad.



# F-Number and NA (2)

$$F = \frac{f}{D} \quad NA = n \sin \theta$$

$$\frac{1}{f} = \frac{-m}{s'} + \frac{1}{s} \quad s' = (1 - m)f$$

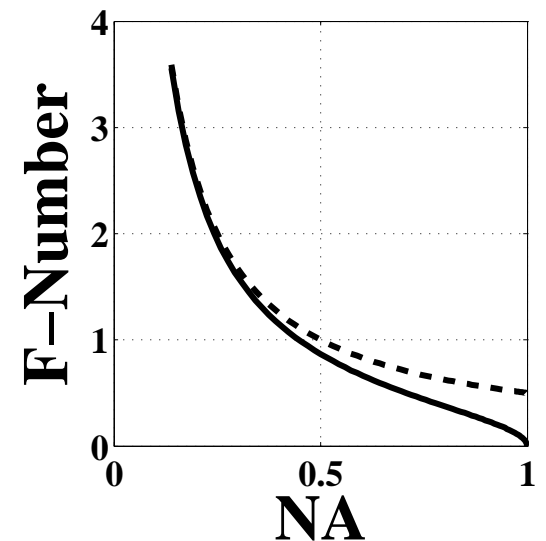
$$NA_{image} = n' \frac{1}{\sqrt{(|m - 1| \times 2F)^2 + 1}}$$

$$NA_{object} = n \frac{1}{\sqrt{\left(\left|\frac{1}{m} - 1\right| \times 2F\right)^2 + 1}}$$

Small  $NA$ , Large  $F$

$$NA_{image} =$$

$$n' \frac{1}{|m - 1| \times 2F}$$



1:1 Relay ( $m = -1$ )

$$NA = \frac{1}{\sqrt{4F^2 + 1}}$$

# Light-Gathering Ability

$$dP_{\text{aperture}} = I \times d\Omega$$

$$NA_{\text{object}} = n \sin \theta = n \frac{D/2}{\sqrt{s^2 + (D/2)^2}}.$$

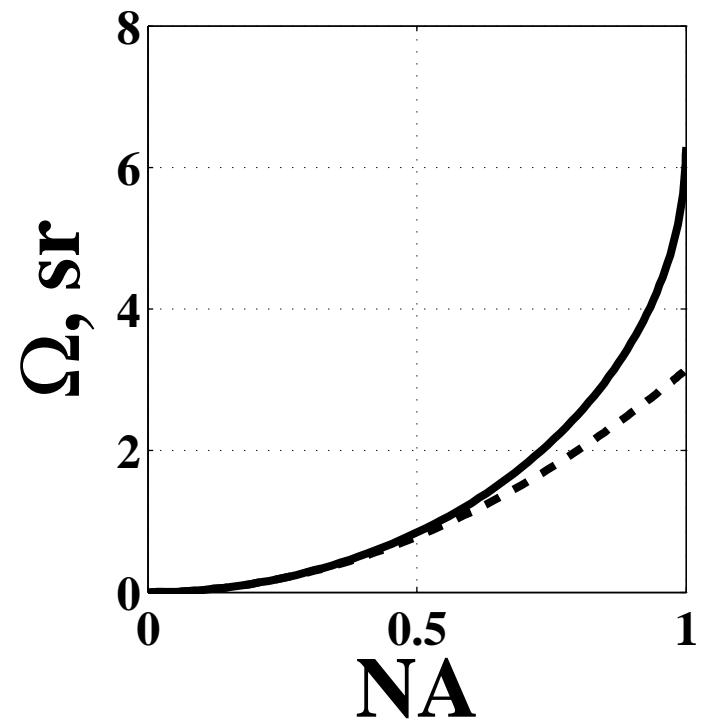
$$\Omega = 2\pi \left( 1 - \sqrt{1 - \left( \frac{NA}{n} \right)^2} \right)$$

$$\Omega = \frac{\pi}{4} \left( \frac{D}{s} \right)^2 \quad (\text{Small NA})$$

For Constant  $I$ :

$$P = I\Omega$$

$$\Omega = \int_0^\theta \sin \theta' d\theta' d\phi'$$

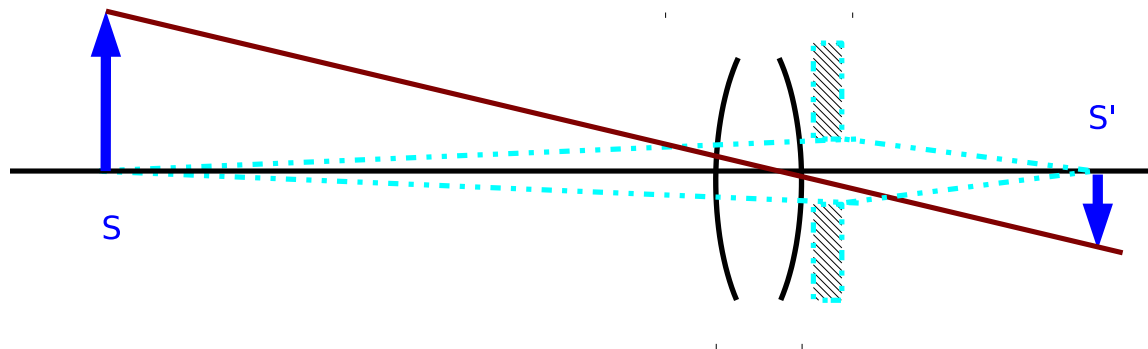


# Example: Camera (1)

Object:  $dI = LdA$  (W/sr)  
(Radiance,  $L$  in Ch. 12)

Change  $x_{pixel}$  to  $x'_{pixel}$  in Text

Object Distance	$s$	1000m
Camera Pixel	$x'_{pixel}$	$7.4\mu\text{m}$
Lens	$f$	9mm
	$D$	$f/2$

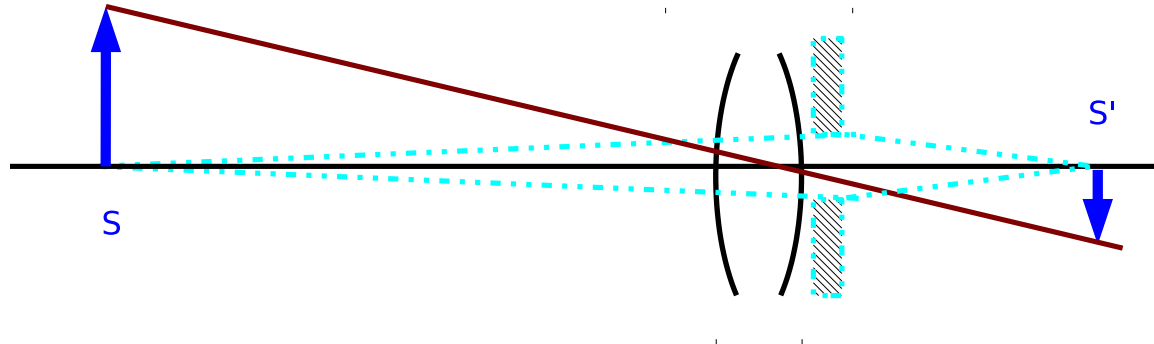


$$x_{pixel} = x'_{pixel}/m = (7.4 \times 10^{-6}\text{m}) / (9 \times 10^{-6}) \quad \text{Area} = 0.68\text{m}^2$$

Intensity of Bright Scattered Sunlight  
(1/4 in the Visible: Ch. 12)

$$\frac{1000\text{W}/\text{m}^2}{\pi} \times 0.25 \times 0.68\text{m}^2 \approx 50\text{W}/\text{sr}$$

## Example: Camera (2)



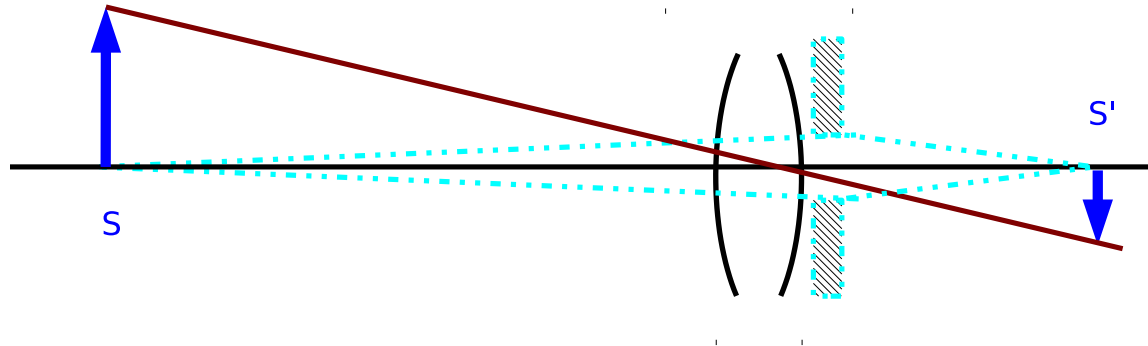
Intensity of Scattered Sunlight: 50W/sr

$$NA_{Object} \approx \frac{D}{2s} = \frac{f}{2Fs} = \frac{0.009\text{m}}{4 \times 1000\text{m}} = 2.25 \times 10^{-6}$$

$$\Omega \approx \pi NA^2 = 1.6 \times 10^{-11}\text{sr}$$

$$dP_{aperture} = dI\Omega = 50\text{W/sr} \times 1.6 \times 10^{-11}\text{sr} = 7.9 \times 10^{-10}\text{W}$$

# Example: Camera (3)



$$dP_{aperture} = dI\Omega = 50\text{W/sr} \times 1.6 \times 10^{-11}\text{sr} = 7.9 \times 10^{-10}\text{W}$$

$$\text{Photon Energy: } h\nu = hc/\lambda$$

Photons (Lots of 'em!):

$$N = \frac{dP_{aperture}}{h\nu} \eta t$$

Wavelength (Green)	$\lambda$	500nm
Quantum Efficiency	$\eta$	0.4
Frame Time	$t$	1/30sec
Electrons	$N$	$2.7 \times 10^6$

# Example: Camera (4) Voltage

- Oxide Capacitance / Area
  - Typical MOS Wafer
  - See Any Electronics Text

$$C_A = 10\text{nF/cm}^2$$

- Number of Electrons
  - Previous Page
  - $2 \times 10^6$
- Voltage on Pixel: 79V
  - Unreasonable!
- Typical Full Well
  - $10^4$ electrons
  - 0.3V
- Decrease Aperture
  - At least f/2 to f/20
  - Typical for Bright Sun

```
>> C_A=10*1e-9/1e-4
C_A =
    1.0000e-04
>> Area=(7.4e-6)^2
Area =
    5.4760e-11
>> capacitance=C_A*Area
capacitance =
    5.4760e-15
>> constant;
>> charge=2.7e6*q_electron
charge =
    4.3259e-13
>> voltage=charge/capacitance
voltage =
    78.9970
```

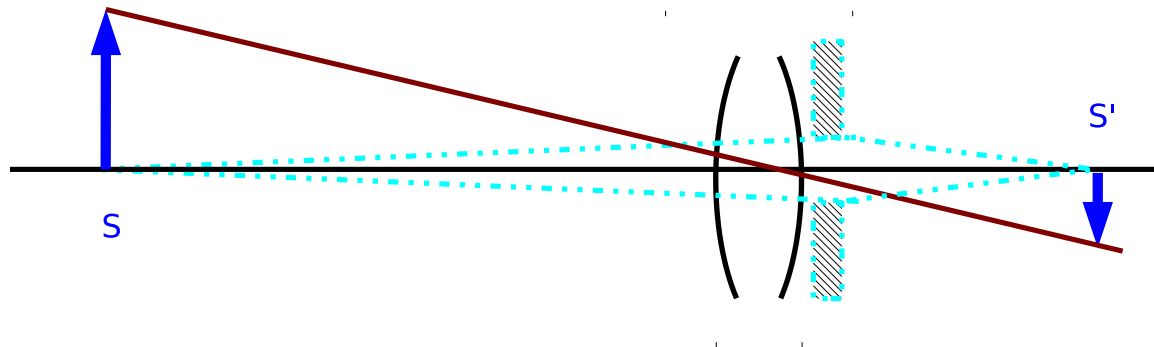


# Camera Apertures

Abbe Invariant ( $m_\alpha = 1/m$ ) Implies Constant Etendue (See Ch. 12)

$$A' = m^2 A \quad \Omega' = \frac{1}{m^2} \Omega$$

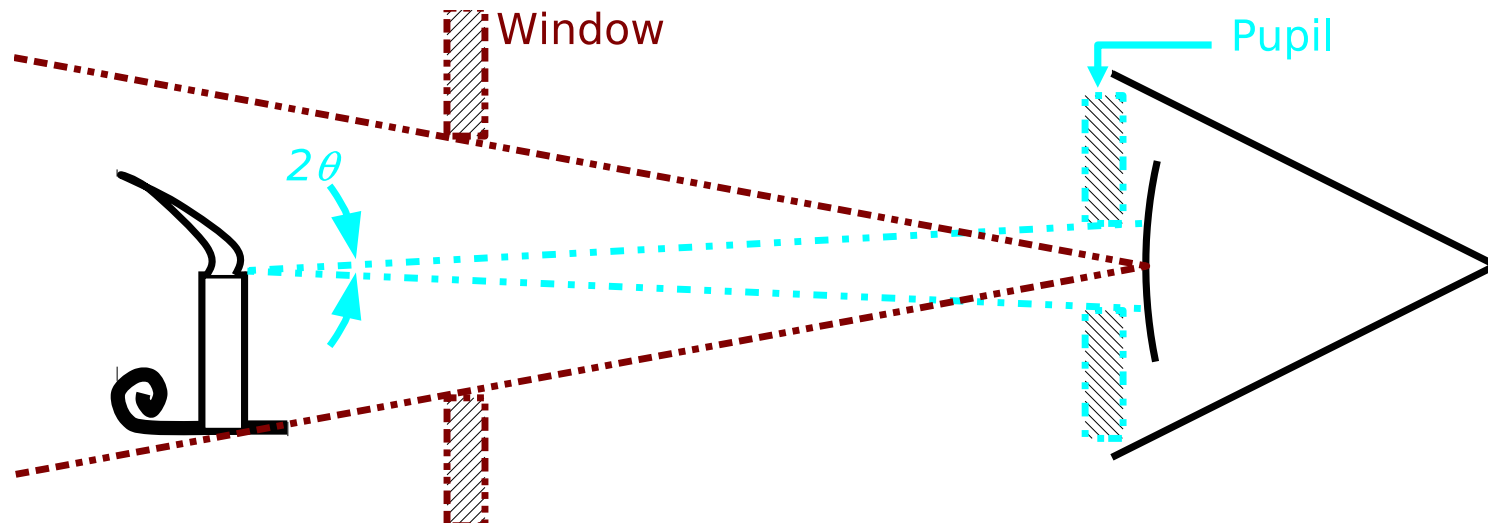
$$A\Omega = A'\Omega' \quad LA\Omega = LA'\Omega'$$



Aperture Stops: Each Stop Is a Factor of 2

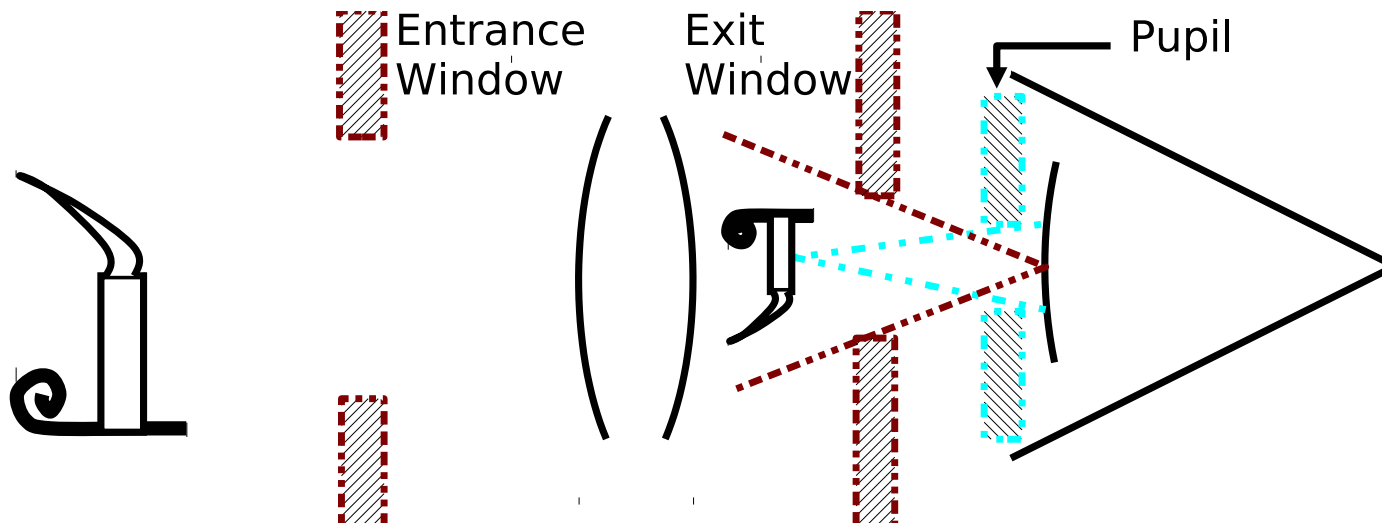
$F$ , Indicated f-number	1.4	2	2.8	4	5.6	8	11
Actual f-number	$\sqrt{2}^1$	$\sqrt{2}^2$	$\sqrt{2}^3$	$\sqrt{2}^4$	$\sqrt{2}^5$	$\sqrt{2}^6$	$\sqrt{2}^7$
NA	0.3536	0.2500	0.1768	0.1250	0.0884	0.0625	0.0442
$\Omega$ , sr	0.3927	0.1963	0.0982	0.0491	0.0245	0.0123	0.0061

# The Field Stop



- Simple Example as Shown
  - Window = Field Stop
  - Called the Entrance Window
- Field of View
  - Limits Size of Object (Diameter or Angle)...
  - ...or Size of Image

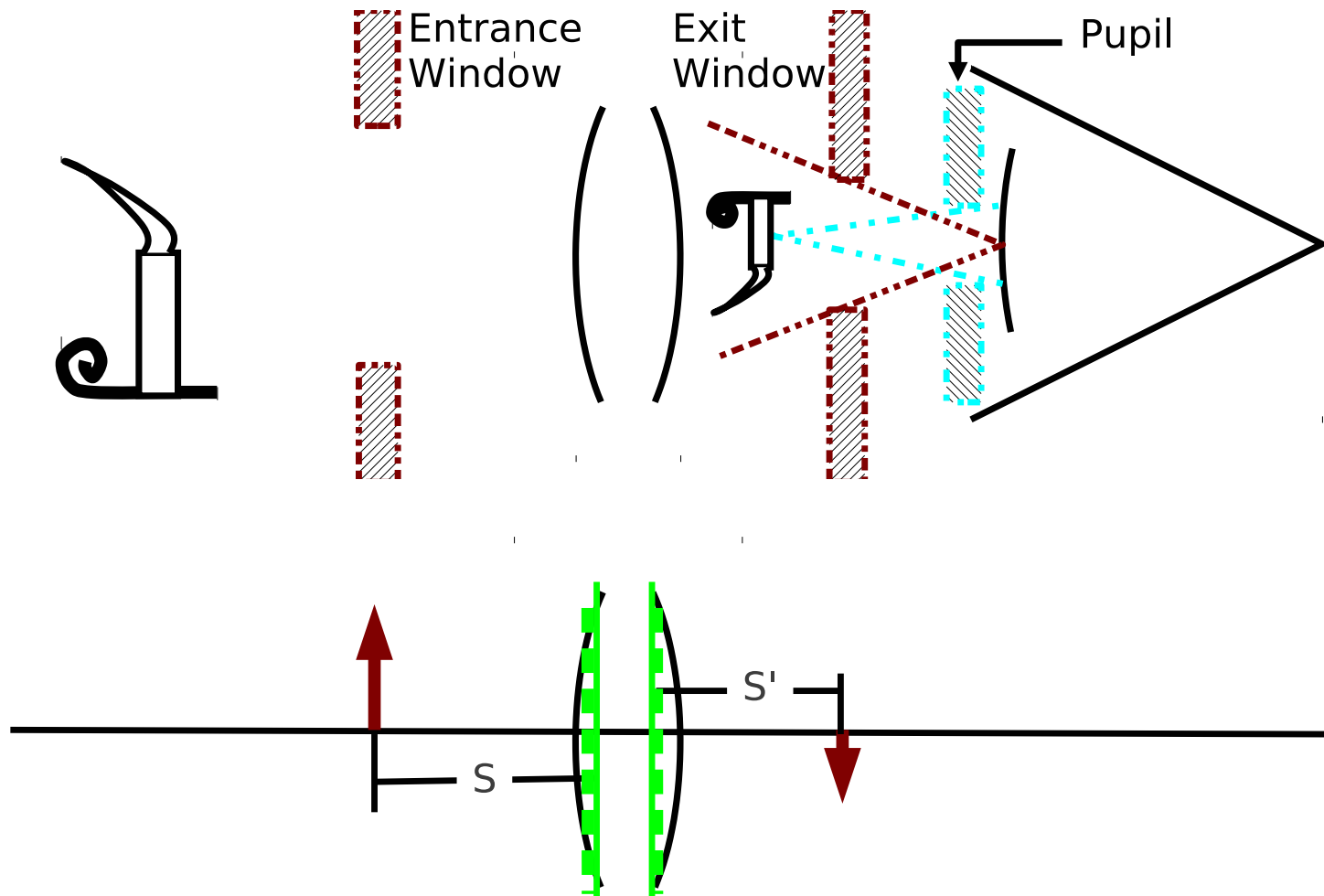
# Exit Window: Example



- Entrance Window Limits Size of Object
- Exit Window = Image of Field Stop
- Exit Window Limits Size of Image
- Location and Size from Imaging Equations
- Entrance and Exit Windows Real in this Example

800min 31 Jan 2014: Streaming video next week.

# Finding the Exit Window



Use Imaging Equations: Location and Size

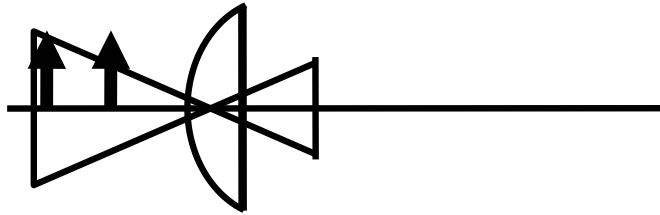
# Camera FOV (1)

- Field of View Limited in Image Rather than Object
  - Camera Chip is the Limit
  - 1/2.3in Compact Digital Camera
  - Diagonal Dimension = 11mm.
  - Image Field of View (Here Defined by Full Angle)

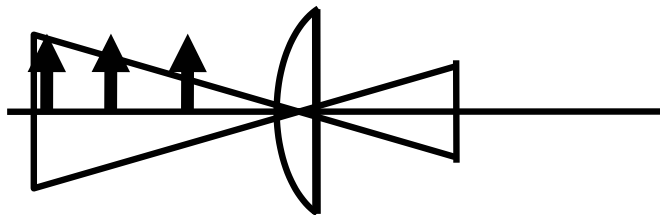
$$f = 10\text{mm} \quad (\text{Normal Lens}) \quad s \rightarrow \infty$$

$$FOV = 2 \arctan \frac{11\text{mm}/2}{10\text{mm}} = 58^\circ$$

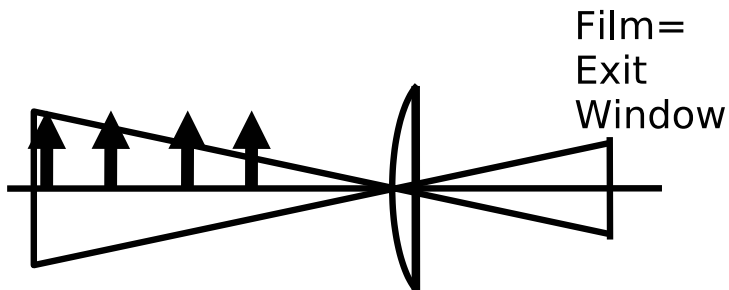
# Camera FOV (2)



Wide-Angle Lens,  $f = 5\text{mm}$



Normal Lens,  $f = 10\text{mm}$



Telephoto Lens,  $f = 20\text{mm}$



$$FOV = 2 \arctan \frac{11\text{mm}/2}{f}$$

- Photographer Moved Away with Increasing  $f$
- Same Linear FOV on the Building in Each Image
- Differences in Foreground Images

# Typical Camera Lenses

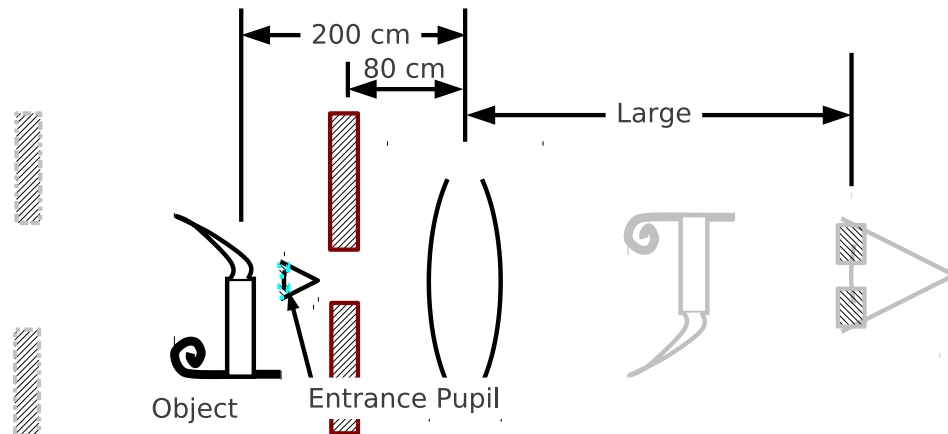
## In–Practice

- Camera lens choices depend on exit window and application.
- Application determines field of view.
- Normal lens has 45 to 60 Degree FOV.

Application	35mm Camera	2/3–in Camera
Telephoto	$> 100\text{mm}$	$> 20\text{mm}$
Normal Lens	50mm	10mm
Wide–Angle	$< 30\text{mm}$	$< 5\text{mm}$

- These numbers are very rough guidelines

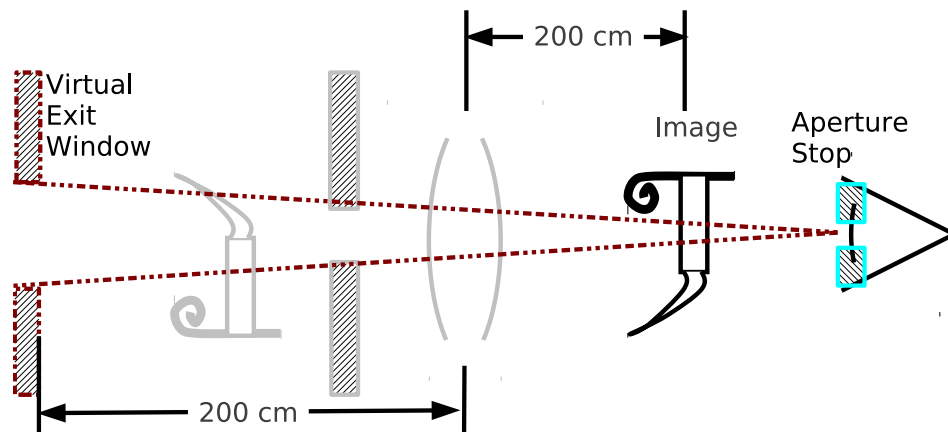
# Another Example: Virtual Exit Window



$$s = 200\text{cm}, s_{\text{fieldstop}} = 80\text{cm}.$$

Field Stop Limits FOV (Top)

Virtual Exit Window Seen by Observer (Bottom)



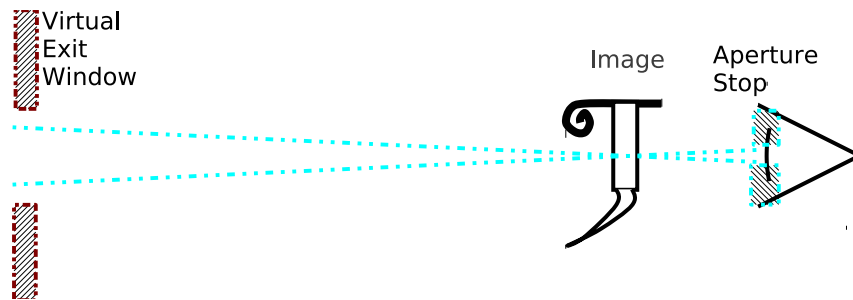
$$s' = 200\text{cm}, s'_{\text{fieldstop}} = -400\text{cm}.$$

Virtual Entrance Pupil in Object Space (Top)

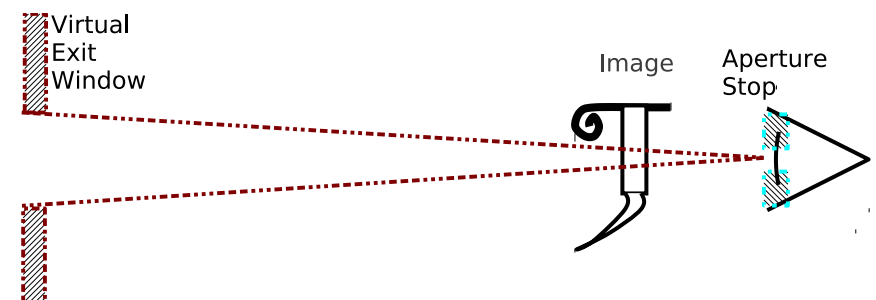
Apertures Function Regardless of Apparent Order (Actual Sequence Matters).



# Summary In Image Space

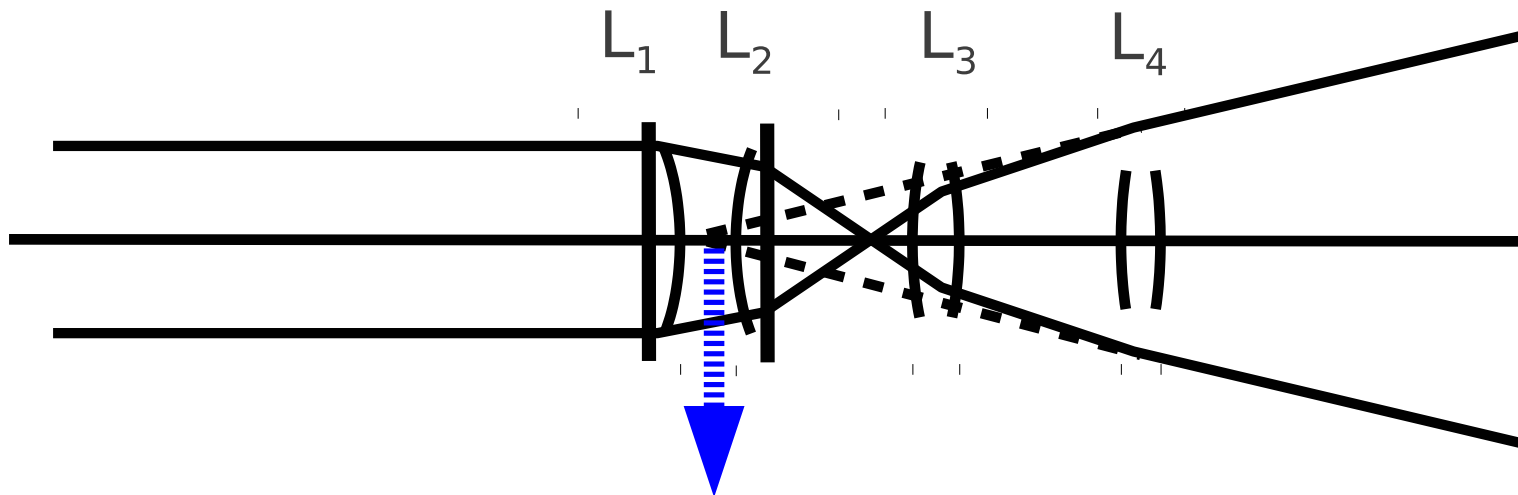


- Pupil Limits Light–Gathering Ability
- Cone of Rays From Image is Limited
- Solid Angle Determines Amount of Light Collected



- Window Limits Field of View
- Cone of Rays from Pupil is Limited
- FOV Defined by Angle or Linear Dimension

# Where Are the Stops?



- Compound Lens
- Object to Left at Infinity
- Image as Shown
- Where Are the Stops Now?
  - Aperture Stop?
  - Field Stop?

- Important Concepts
  - Sequential Optics
  - Object and Image Space
  - Other Spaces (e.g. Infinity)

# Object Space, Image Space, and Stop Definitions

Mapping from Object Space to Image Space through the Compound Lens

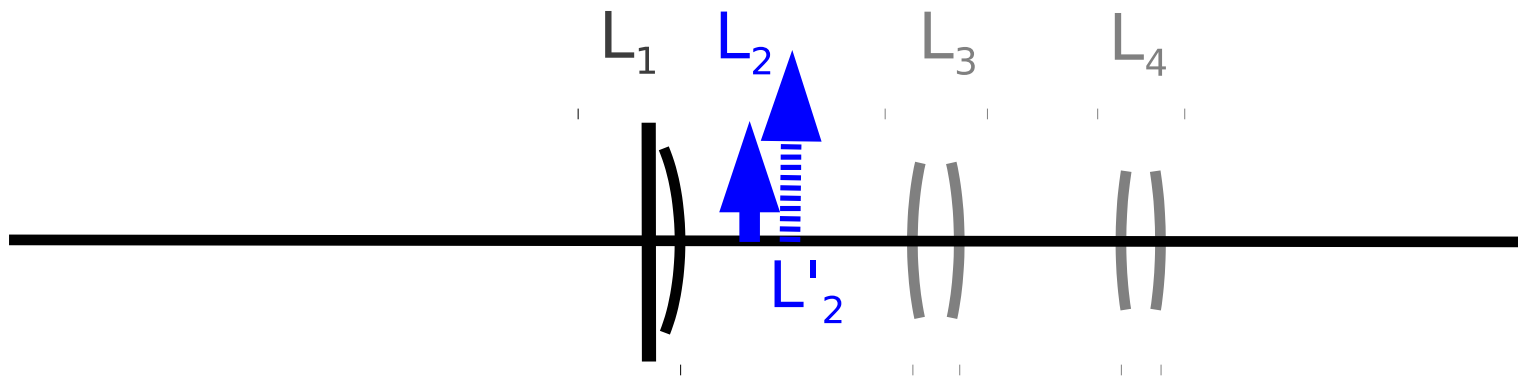
$$\frac{1}{s'} = \frac{1}{f} - \frac{1}{s} \quad x' = -\frac{s'}{s}x$$

Pick one space and work in that.

Object Space	Physical Component	Image Space
<b>Entrance Pupil:</b> Image of Aperture Stop in Object Space. Limits Cone of Rays from Object	<b>Aperture Stop:</b> Limits Cone of Rays from Object which Can Pass Through the System.	<b>Exit Pupil:</b> Image of Aperture Stop in Image Space. Limits Cone of Rays from Image.
<b>Entrance Window:</b> Image of Field Stop in Object Space. Limits Cone of Rays From Entrance Pupil.	<b>Field Stop:</b> Limits Locations of Points in Object which Can Pass Through System	<b>Exit Window:</b> Image of Field Stop in Image Space. Limits Cone of Rays From Exit Pupil.

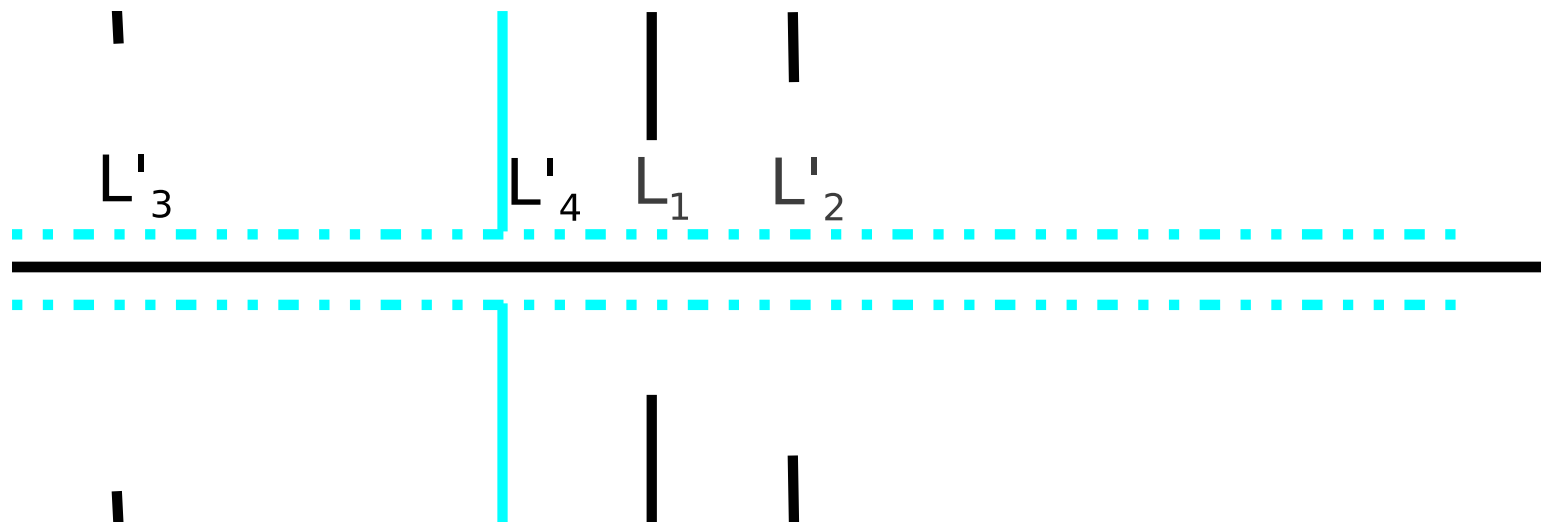
# Finding the Stops in Object Space

- Find Each Lens as Seen in Object Space
  - Lens  $L_1$
  - Lens  $L_2$  as Seen Through  $L_1$  ( $=L'_2$ )
  - Lens  $L_3$  as Seen Through  $L_2$  and  $L_1$  ( $=L'_3$ )
  - Lens  $L_4$  as Seen Through  $L_3$ ,  $L_2$  and  $L_1$  ( $=L'_4$ )



# Finding the Entrance Pupil

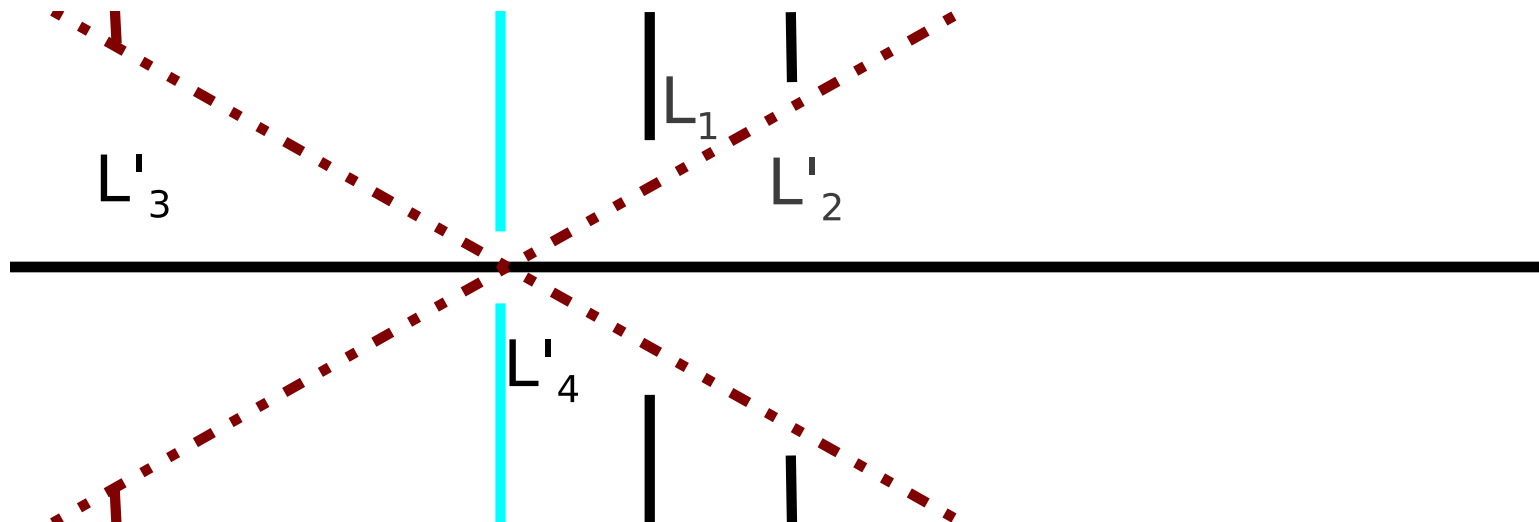
- Start at the Object (To the Left at Infinity Here)
- Find the Aperture that Limits Cone of Rays from Object
- Entrance Pupil is  $L'_4$ , Aperture Stop is  $L_4$  (Smallest Angle)



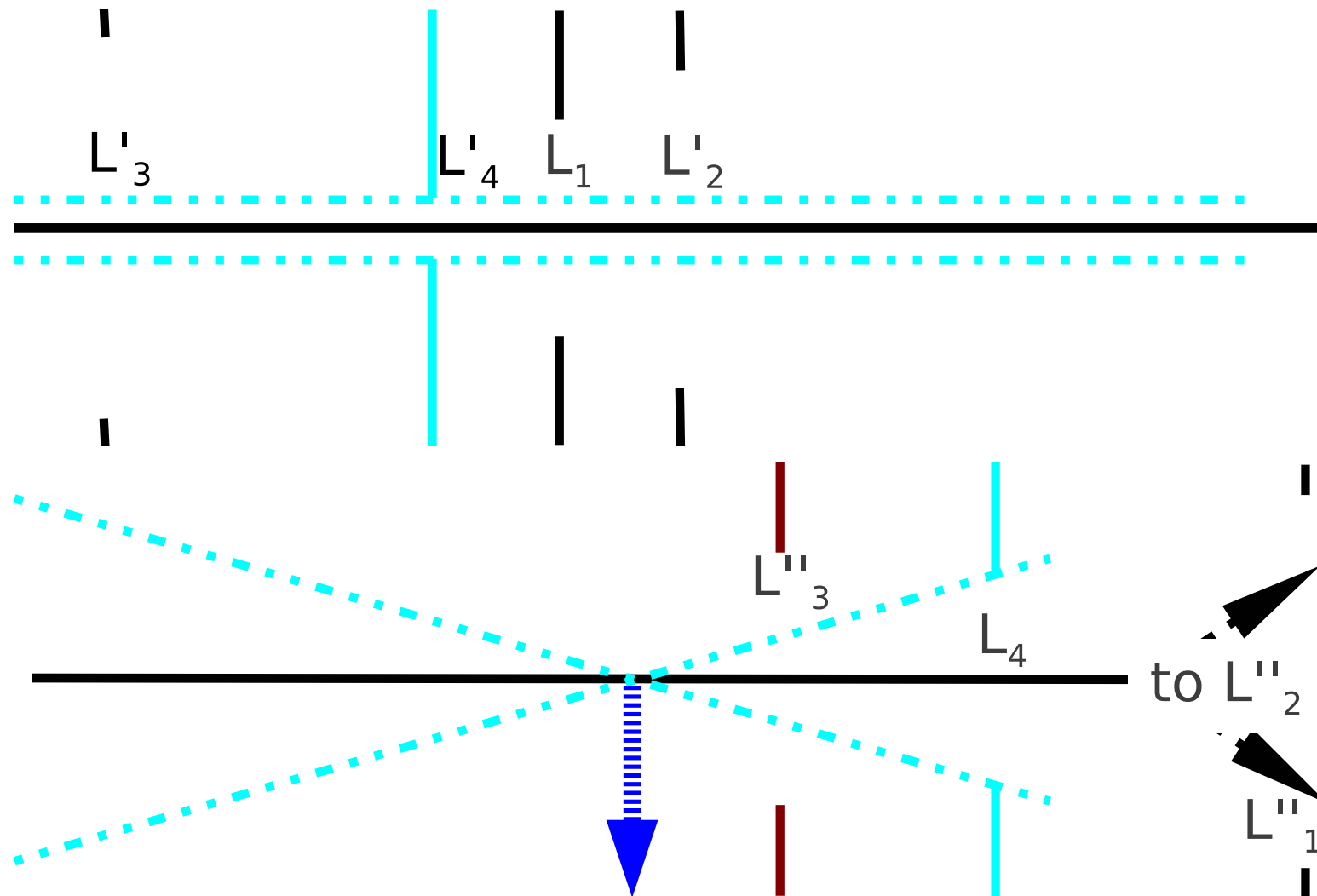
# Finding the Entrance Window

- Start at the Entrance Pupil
- Find the Aperture that Limits Field of View from Pupil
- Entrance Window is  $L'_3$ , Field Stop is  $L_3$
- Remember Entrance Pupil is  $L'_4$ , Aperture Stop is  $L_4$
- The Remaining Apertures Don't Matter

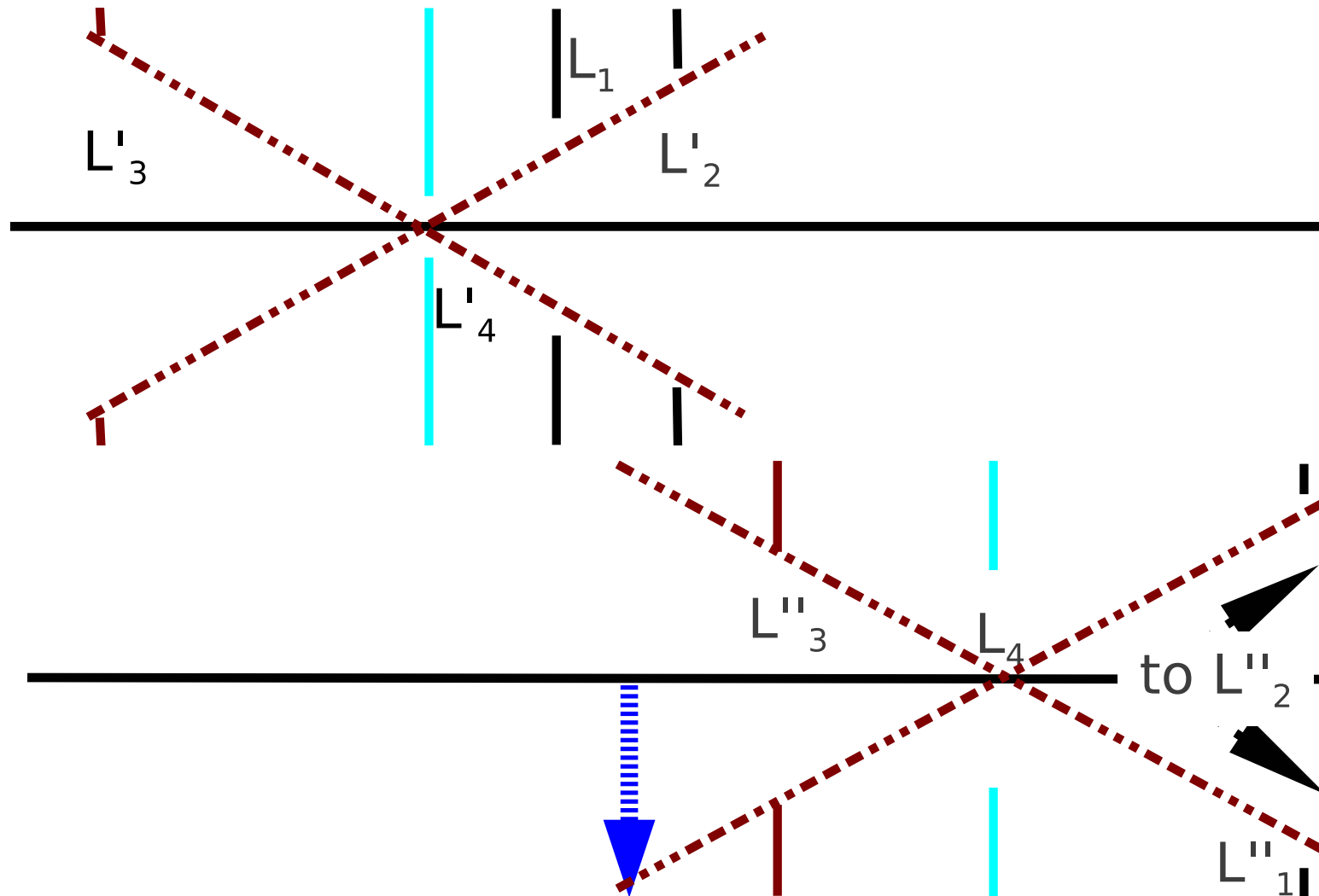
Fix figure in text (4-5-apexample.odg)



# Object Space and Image Space: Entrance and Exit Pupils



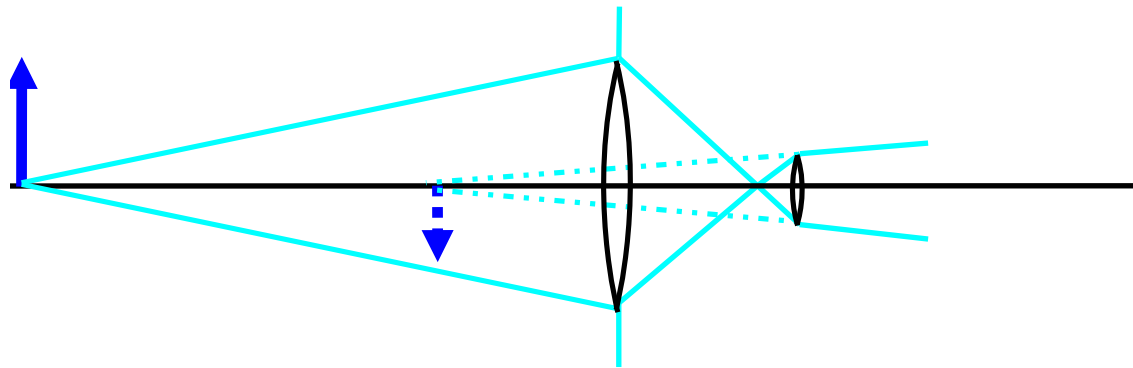
# Object Space and Image Space: Entrance and Exit Windows



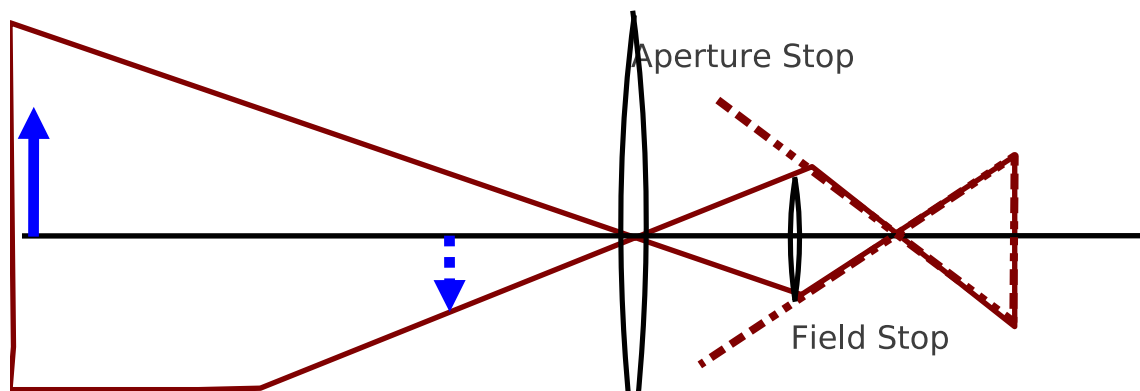


# The Telescope

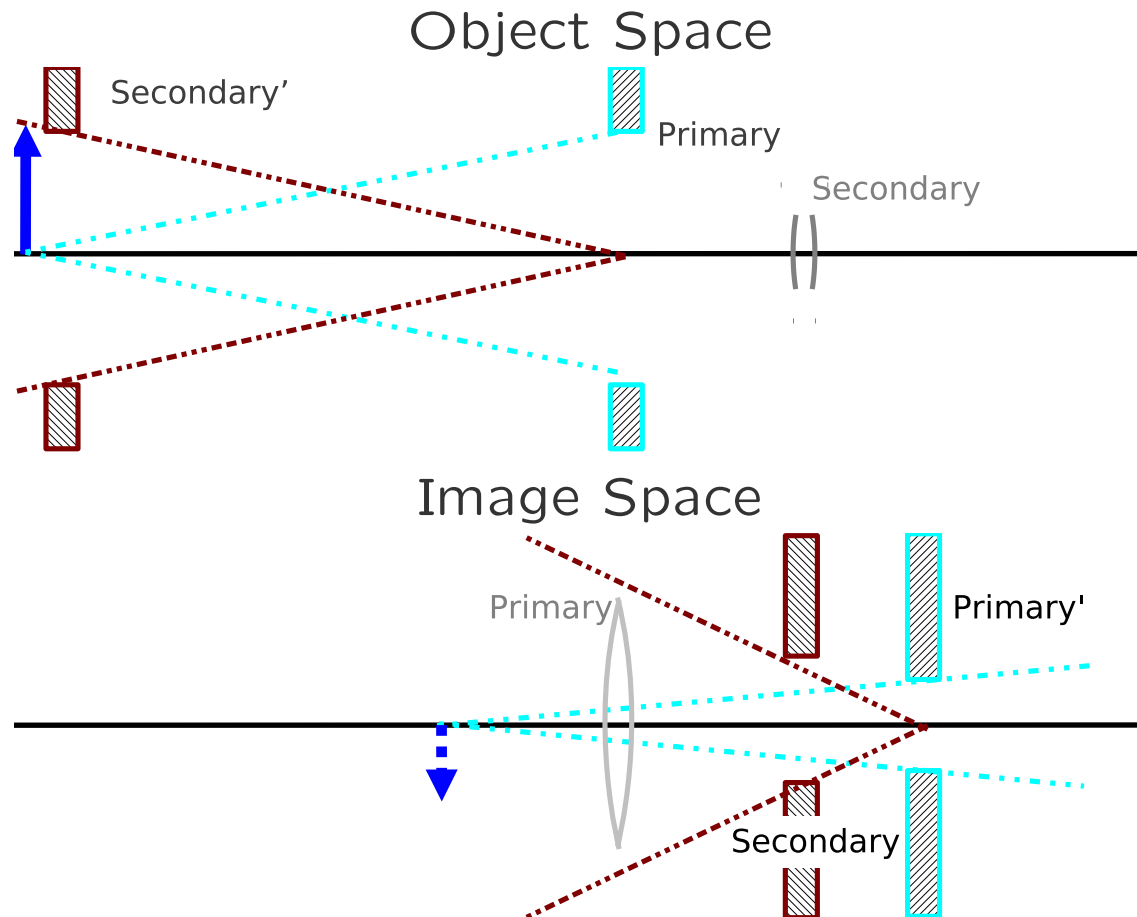
Primary as Aperture Stop



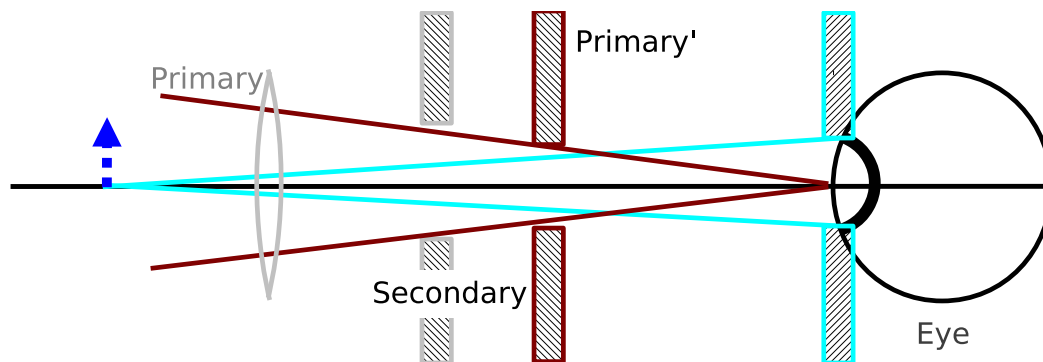
Secondary as Field Stop



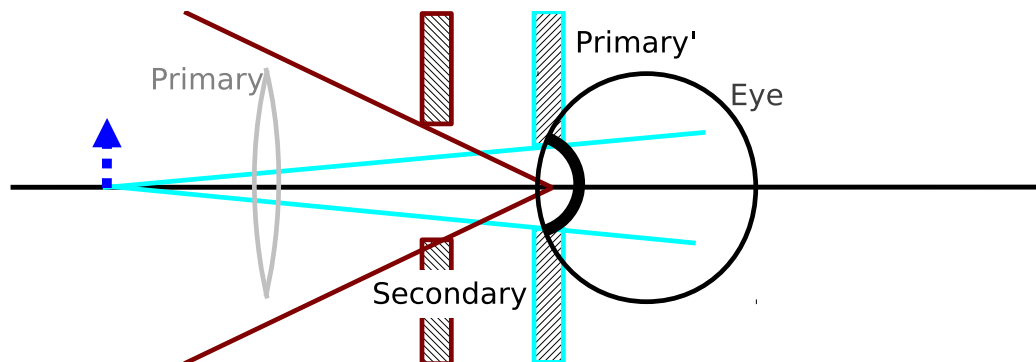
# The Telescope: Object and Image Space



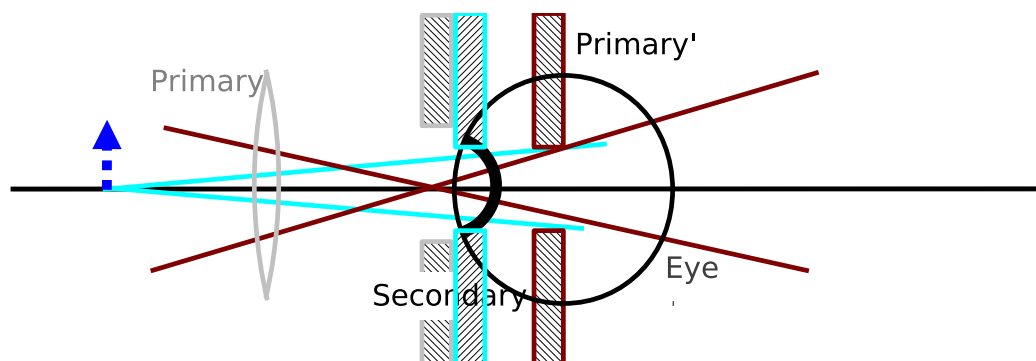
# Eye Relief: Matching Pupils



Eye Too Far Away:  
Telescope Exit Pupil  
Becomes Field Stop and Is  
Visible.

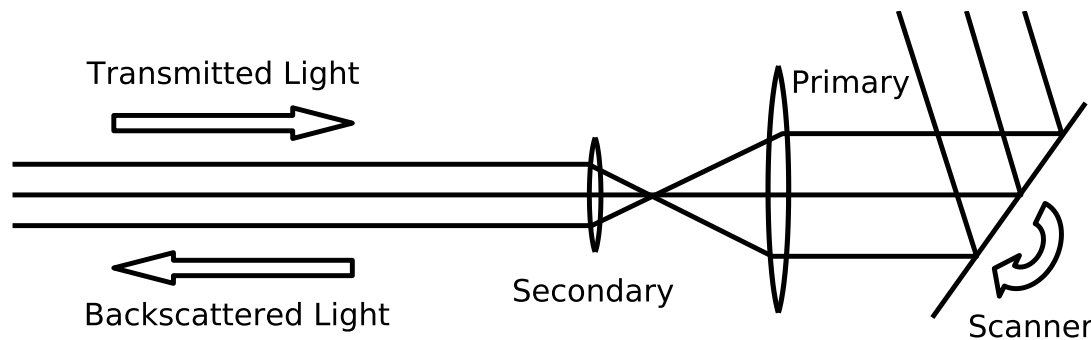


Pupils Matched: Actual  
Pupil is the Smaller of the  
Two.



Eye Too Close. Telescope  
Exit Pupil Becomes Field  
Stop but is Blurred  
(Distance is Negative).

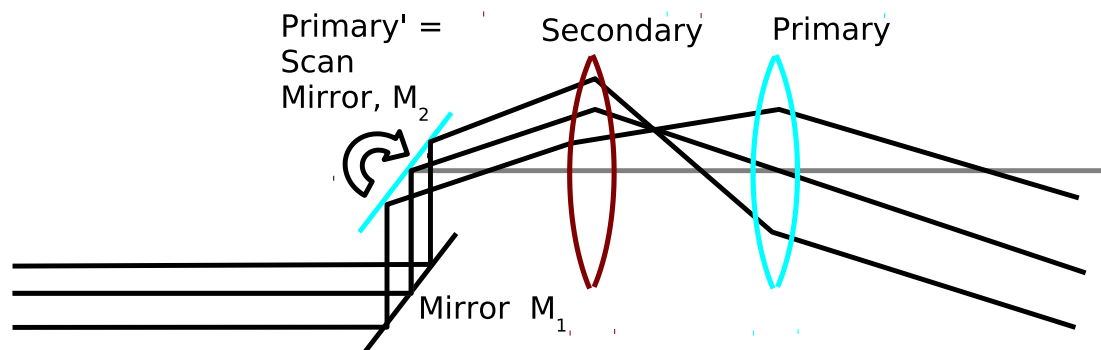
# Scanning and Pupils: Laser–Radar Example



## In–Practice

Pre–Expander  
Scanning can be  
useful, but requires  
careful design.

## Post–Expander Scanning



## Pre–Expander Scanning

The tradoffs can be  
complicated; Smaller  
scan mirror, but  
larger secondary and  
larger scan angle.

Place the Scanning Mirrors in a Pupil Plane (or Close)

Small Mirrors Can Move Faster...

...but Remember the Angular Magnification

1000min 7 Feb 2014 by JH. Streaming video for 4 Feb.

# The Simple Magnifier

- The Simple Magnifier Has Practical Limitations

$$\frac{1}{s'} = \frac{1}{f} - \frac{1}{s}$$

- Large  $s'$  for Large  $m$ ;  $s \approx f$

$$s' = -\frac{fs}{f-s} \approx -\frac{f^2}{f-s}$$

- $s$  Slightly Smaller than  $f$  for Positive  $m$  and negative  $s'$

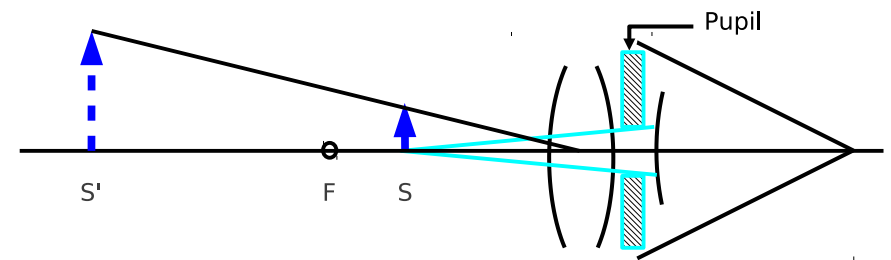
$$m = \frac{-s'}{s} > 0$$

- No Limit on  $m$  ...
- But  $x'/s'$  is What Matters

- Define  $M = m$  at  $s' = 20\text{cm}$

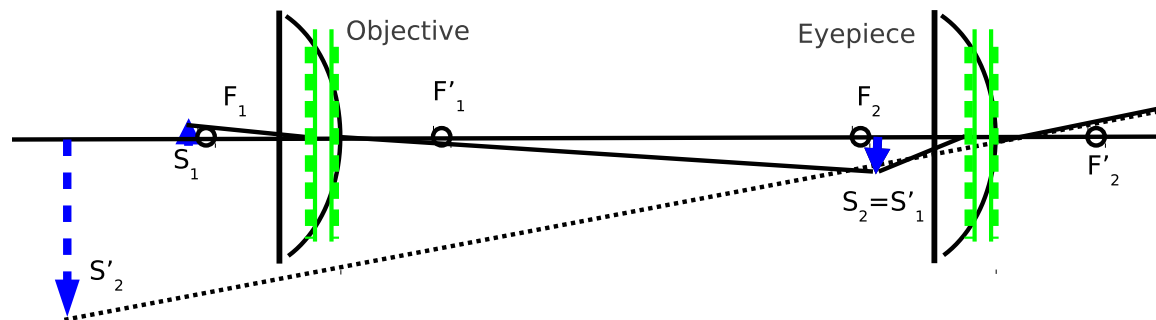
$$M = \frac{20\text{cm}}{f}$$

- Hard to Make  $f/d$  Small
- $d \ll d_{\text{eye}}$  Costs Light
- Hard to Make  $f \ll 1\text{cm}$  (but Leeuwenhoek did it)
- Better Solution: Compound Microscope



# Compound Microscope

- The Solution: Use Two (or More) Lenses
- Now We Really Need to Understand Pupils and Windows
- Two-Lens Microscope
  - Objective (First Lens) Provides High Magnification and Real Image
  - Eyepiece Acts as a Simple Magnifier
  - Both Are Usually Compound Lenses (See Ch. 5)

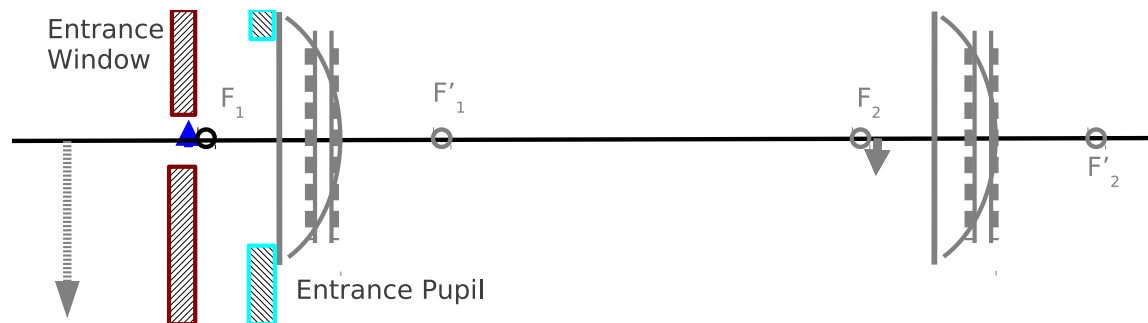


# Compound Microscope: Object Space

- Objective Provides Magnification and Aperture Stop
  - Short Focal Length for High Magnification
  - High NA (Hopefully)
- Tube Length Provides Large Real Intermediate Image

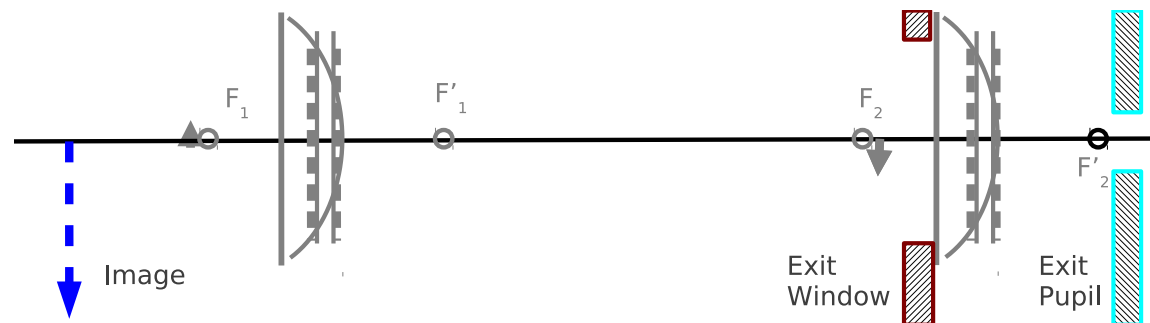
$$m_{objective} = -\frac{s'_{objective}}{f_{objective}} \approx -\frac{\ell_{tube}}{f_{objective}}$$

- Standard Tube Length 160 mm
- Many Variations
- Tube Length Makes Entrance Window Near Object



# Compound Microscope: Image Space

- Eyepiece Acts as a Simple Magnifier and Field Stop
  - Moderate Focal Length for Moderate Magnification
  - Exact Magnification Not Critical ( $x'/s'$  Matters)
- Image Near Infinity (Virtual, Inverted)
- Tube Length Places Exit Pupil at Back Focus of Eyepiece
- Eye Relief for Pupil Matching



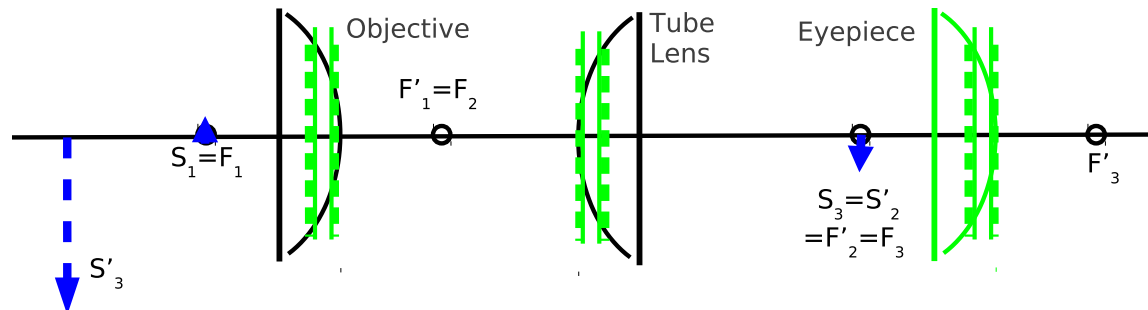


# Infinity-Corrected Microscope

- Added Lens, Telecentric Configuration

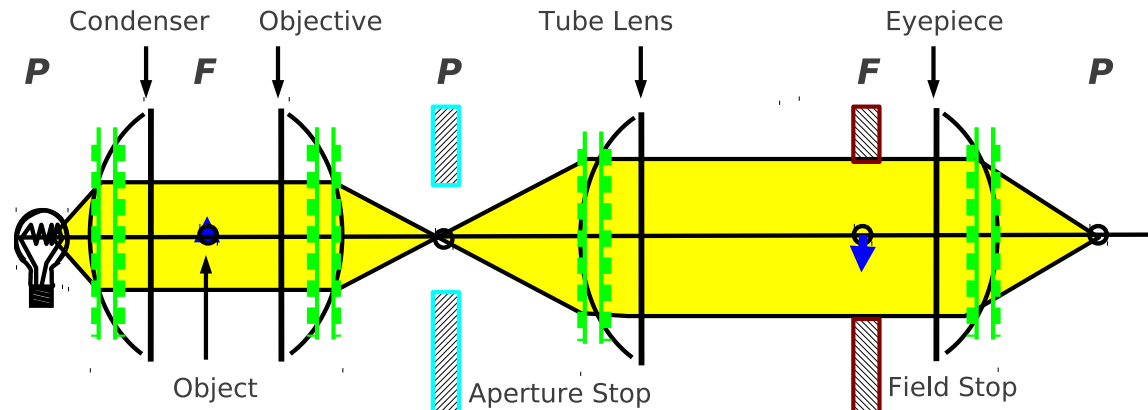
$$m_{objective} = -\frac{f_{tube}}{f_{objective}}$$

- Improved Image Quality
- Infinity Space Between Objective and Tube Lens
  - Allows for Filters and Other Optics (Flat without Aberration: See Ch. 5)
  - Provides Real Pupil
- Camera Often Placed at Intermediate Image

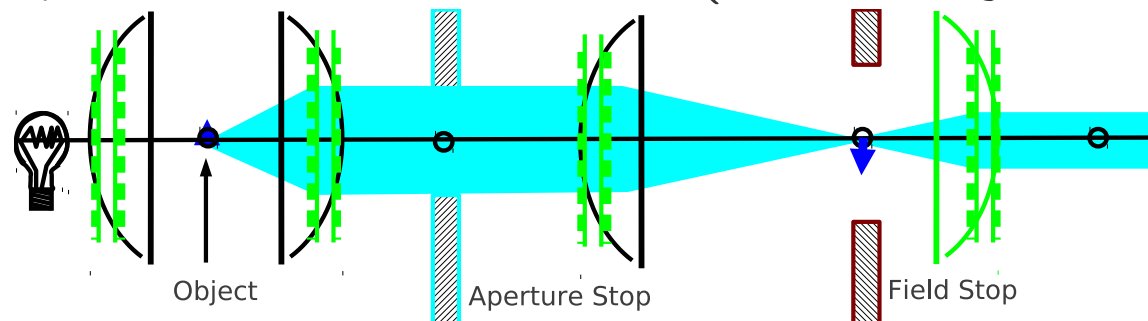


# Infinity-Corrected Microscope

Illumination Should Match or Exceed FOV (& No Diffuser)

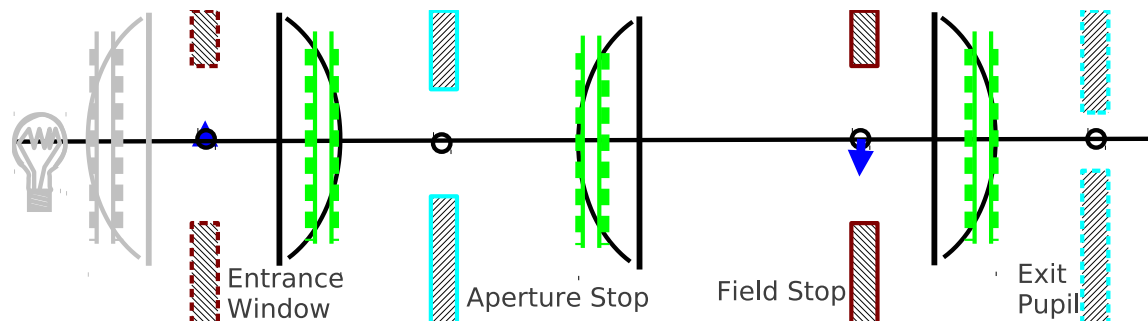


Aperture is Well-Defined (In the Objective)



# Microscope Apertures

- Aperture Stop ( $< 10$  to  $> 20$ mm)
  - In Back Focal Plane of Objective
  - Determines NA
  - Exit Pupil at Back Focal Plane of Eyepiece.
- Field Stop ( $> 20$ mm)
  - At Intermediate Image
  - Often Used for Camera or Detector
    - \* Camera Then Acts as Field Stop (Smaller FOV)
  - Entrance Pupil at Object (Front Focal Plane of Objective)
- All in Focal Planes

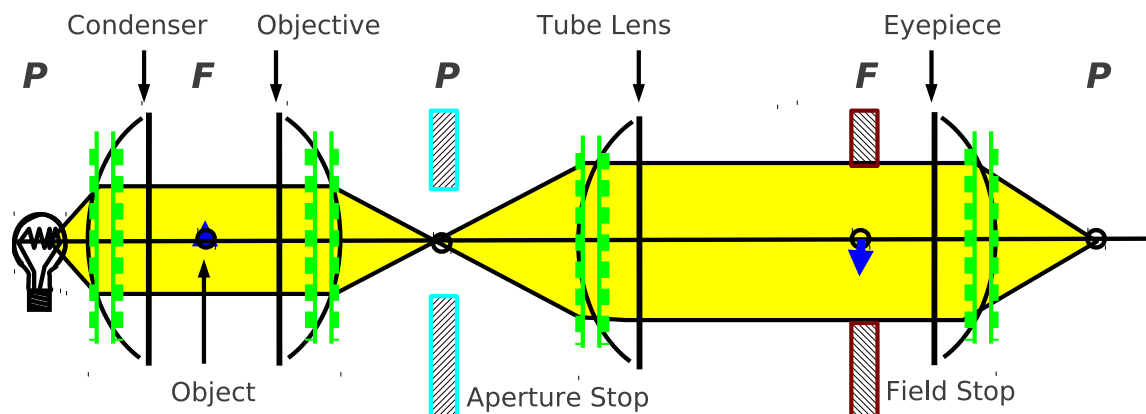


# Köhler Illumination

- Light Source in a Pupil Plane
- Not Imaged (See Ch. 11 and Homework Problem There)
- Condenser Lens Determines FOV of Light Source

## In-Practice

Köhler Illumination is normally used in a microscope.



1100min 11 Feb 2014